

6 *Article*

7 **Compensating for Language Deficits in Amnesia I: H.M.'s**  
8 **Spared Retrieval Categories**

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18 **Abstract:** Three studies examined amnesic H.M.'s use of words, phrases, and propositions  
19 on the Test of Language Competence (TLC). In Study 1, H.M. used 19 lexical categories  
20 (e.g., common nouns, verbs) and one syntactic category (noun phrases) with the same  
21 relative frequency as memory-normal controls, he used no lexical or syntactic category  
22 with less-than-normal frequency, and he used proper names (e.g., *Melanie*) and  
23 coordinative conjunctions (e.g., *and*) with reliably *greater-than-normal* frequency. In  
24 Study 2, H.M. overused proper names relative to controls when answering episodic  
25 memory questions about childhood experiences in speech and writing, replicating and  
26 extending Study 1 results for proper names. Based on detailed analyses of the use (and  
27 misuse) of coordinating conjunctions on the TLC, Study 3 developed a syntax-level  
28 "compensation hypothesis" for explaining why H.M. overused coordinating conjunctions  
29 relative to controls in Study 1. Present results suggested that (a) frontal mechanisms for  
30 retrieving word-, phrase-, and propositional-categories are intact in H.M., unlike in  
31 category-specific aphasia, (b) using his intact retrieval mechanisms, H.M. has developed a  
32 never-previously-observed proposition-level free association strategy to compensate for the  
33 hippocampal region damage that has impaired his mechanisms for encoding novel  
34 linguistic structures, and (c) H.M.'s overuse of proper names warrants further research.

35 **Keywords:** amnesic H.M.; category-specific lexical retrieval; sentence production;  
36 propositional conjunction; compensation strategies in amnesia; syntax-based free  
37 association; hippocampus; medial temporal lobe

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2 **1. Introduction**

3 For many years, researchers assumed that the speech production of amnesic H.M. was intact (see  
4 e.g., [1]). However, closer inspection in recent studies indicated abnormalities in how H.M. responded  
5 to conversational questions. An example is (1), an excerpt from a conversation with Marslen-Wilson  
6 [2] about a lay teacher who took over one of H.M.'s classes in a Catholic grade school:

7 (1). H.M.: (in response to the question Why did the lay teacher take over the class?) "Uh  
8 .... so that they took ... well .. she ... I say took over, and what I mean it as .... that, as the  
9 kids progressed then they were able to ... uh ... they'd gone to a lay teacher .... and they'd  
10 seen the nuns around, so when they moved to the grade, next grade, they would .... they  
11 would naturally ... uh ... more eased.... with being with the .. uh .. nuns than being scared  
12 ..... they were going in there as young kids, they'd be scared, right off in a way ..... but  
13 they see them around and understand them more"

14 H.M.'s response in (1) seems to suggest that the lay teacher took over his class because the pupils  
15 would be less fearful with a lay teacher rather than a nun leading the class. However, seeing nuns  
16 around, the pupils would become accustomed to them, so that rather than remaining scared, they would  
17 feel more at ease when a nun led their next class.

18 If this response description is accurate, numerous uncorrected errors in (1) obscured H.M.'s  
19 intended meaning. For example, in "they would naturally ... uh ... more eased.... with being with the ..  
20 uh .. nuns than being scared", H.M. omitted the verb (*be* or *feel* in *would naturally feel more eased*), he  
21 omitted a coordinating conjunction (presumably *rather* in *rather than being scared*), and he substituted  
22 a neologism "more eased" for *more at ease* or *more accustomed*. Then, in "what I mean it as that....",  
23 H.M. substituted first "it" and then "as" for *is*, phonological errors that distorted his intended output:  
24 *what I mean is that*.

25 Three aspects of H.M.'s uncorrected errors in (1) are noteworthy. First, such errors are  
26 representative rather than exceptional aspects of H.M.'s speech: In well controlled experiments, H.M.  
27 has produced: (a) reliably more uncorrected word and phrase omissions than memory-normal controls,  
28 as in examples (2)-(4) from MacKay, James, Hadley, and Fogler [3]; (b) reliably more sequencing  
29 errors (transpositions, anticipations, and preservations of words and phrases) than memory-normal  
30 controls [3], and (c) reliably more neologisms in word reading [4], naming objects on the Boston  
31 Naming Test [5] and other tasks [6].

32 (2). H.M.: "He's talking on the to somebody" (omission of the word *phone* in: *He's talking*  
33 *on the phone to somebody*).

34 (3). H.M.: "There must be a street in between. Because he's in his office" (omission of the  
35 phrase *those buildings and his building* in: *There must be a street in between those*  
36 *buildings and his building because he's in his office.*)

1 (4). H.M.: “And you can't tell exactly what it is she's saying about him. Picture or what”  
 2 (omission of *whether because of the in: And you can't tell exactly what it is she's saying*  
 3 *about him, whether because of the picture or what.*).

4 Second, category-specific aphasics sometimes produce errors that resemble H.M.'s, an observation  
 5 that raises two important questions: Do H.M.'s language production deficits relative to controls in  
 6 Corkin [7], MacKay, Burke et al. [6], MacKay and James [4,8], MacKay, James, and Hadley [9],  
 7 MacKay et al. [3], MacKay, James, Taylor, and Marian [10], and MacKay, Stewart, and Burke [11]  
 8 reflect a type of agrammatism? And does the possible or *incipient* left hemisphere damage suggested  
 9 in Corkin, Amaral, González, Johnson, and Hyman [12] explain H.M.'s language deficits more  
 10 parsimoniously than his hippocampal region damage?

11 Third, because the field has recognized the theoretical significance of speech errors since Lashley  
 12 [13], why did the many researchers interacting informally with H.M. since then overlook his aphasia-  
 13 like errors and assume that his language skills were “normal” or even “erudite” (see [14])?

14 Because these aphasia-linked questions provided the initial impetus for the present research, we first  
 15 describe the nature of category-specific aphasia and its implications for the retrieval mechanisms  
 16 underlying normal everyday word, phrase, and sentence production.

### 17 1.1. Category-specific Aphasia: Implications for Word Retrieval Mechanisms

18 Pure and compound category-specific aphasia suggest that the mechanisms underlying word  
 19 retrieval are category-specific. Agrammatic patients with “pure” category-specific aphasia consistently  
 20 omit or fail to produce words in some lexical categories but not others, although the spared versus  
 21 impaired lexical categories vary from patient to patient, with some patients producing, e.g., nouns but  
 22 not verbs, and others producing verbs but not nouns (see [15–33]).

23 Examples (5ab) and (6abc) illustrate pure category-specific aphasia via transcribed excerpts from  
 24 three famous aphasics who we will simply label X, Y, and Z. Example (5a) is aphasic X's  
 25 ungrammatical description of the well-known cookie theft picture in Goodglass and Kaplan ([34],  
 26 p. 76), and for comparison, (5b) is a model or error-free description of the same picture. Note that  
 27 aphasic X produced a main verb (*fall over*) and several nouns (*jar, chair, and water*), but failed to  
 28 produce other lexical categories seen in (5b), e.g., pronouns (*she, her*), determiners (*a, the*), auxiliary  
 29 verbs (*is in is trying and is standing*), and prepositions (*in, onto*).

30 (5a). Broca's Aphasic X ([34], p. 76): “Cookie jar...fall over...chair...  
 31 water...empty...ov...ov... (Expt.: “overflow?”). Yeah”.

32 (5b). Model cookie theft description: *A woman is in her kitchen doing dishes. She does not*  
 33 *notice the boy and girl behind her nor the water flowing out of the sink onto the floor in*  
 34 *front of her. The boy is trying to get cookies from a jar high up on a shelf and give one to*  
 35 *the girl. He is standing on a stool that is about to fall over.*

36 Examples (6a) and (6b) illustrate how aphasics Y and Z retold the familiar fox and crow fable, and  
 37 (6c) is a model description for comparison. Note that unlike aphasic X, aphasic Y produced  
 38 determiners (*the*), but did not produce several other lexical categories seen in (6c): present participles

1 (opening, dropping) and infinitives (*to trick* and *to steal*). However, unlike aphasics X and Y, aphasic  
 2 Z produced present participles (*singing*), but failed to produce a main verb, an essential lexical  
 3 category in grammatical sentences.

4 (6a). Broca's aphasic Y ([35], p. 38): Well...well...the same thing is s-smart everything,  
 5 smart...and the brain, OK.

6 (6b). Broca's aphasic Z ([36], p. 64): King...Singing...Singing loud...Meat. Perfect!

7 (6c). Model fable description: *The fox uses flattery to trick the crow into opening its mouth*  
 8 *and dropping its cheese for the fox to steal.*

9 Of course, category-specific aphasia is seldom pure, and compound category-specific aphasics  
 10 exhibit sequencing and phonological as well as lexical deficits: Besides omitting specific lexical  
 11 categories, compound category-specific aphasics typically disorder words, omit and/or disorder  
 12 phonological units, and produce neologisms or jargon for once familiar words (see, e.g., [37–40]).

13 Together, pure and compound category-specific aphasia suggest that category-specific activating  
 14 mechanisms retrieve the sequence of phrases in sentences, words in phrases, and phonological units in  
 15 words, and can suffer damage that differs from patient to patient (for detailed language production  
 16 theories sharing this type of category-specific activating mechanism for retrieving word, phrase and  
 17 phonological units, see [41], pp. 14-61; [4,6,8–10]). Thus, aphasic X produced pronouns, determiners,  
 18 auxiliary verbs, and prepositions, but no nouns or verbs in (5a), suggesting selective damage involving  
 19 category-specific retrieval mechanisms for activating nouns and verbs, but not pronouns, determiners,  
 20 auxiliary verbs, or prepositions. By contrast, aphasic Y produced present participles and infinitives but  
 21 not determiners in (6a), suggesting selective damage involving category-specific retrieval mechanisms  
 22 for activating determiners, but not present participles and infinitives. Aphasic Z produced main verbs  
 23 but not present participles in (6b), suggesting selective damage involving category-specific retrieval  
 24 mechanisms for activating present participles, but not main verbs.

## 25 1.2. Speech Error Regularities: Further Evidence for Category-specific Retrieval

26 Three well-established speech error phenomena known as the lexical class, syntactic class, and  
 27 phonological class regularities further support the hypothesis that category-specific mechanisms  
 28 activate the sequence of phrase, word, and phonological units in normal everyday speech production.  
 29 Table 1 illustrates these regularities for 10 classical types of speech errors. Under the lexical class  
 30 regularity, words substituted in error virtually always belong to the same lexical class as the intended  
 31 word (see e.g., [41], pp. 44-61). For example, verbs substitute in error for intended verbs and not for  
 32 common nouns or determiners; prepositions substitute in error for intended prepositions and not for  
 33 proper names or auxiliary verbs; and adjectives substitute in error for intended adjectives and not for  
 34 conjunctions or pronouns. An example from Burke and Shafto [42] concretely illustrates this lexical  
 35 class regularity: The speaker (George Bush) intended to say *Take the guns out of the hands of people*,  
 36 but instead said, “Take the hands out of the guns of people,” where a noun later in the intended  
 37 sequence (*hands*) substituted an earlier one (*guns*), and vice versa. As this typical example illustrates,  
 38 the speaker twice retrieved the wrong word from the right category, leaving intact the overall sequence  
 39 of lexical categories in his sentence plan.

1 **Table 1.** Classical types of everyday speech errors and sequential class regularities: Definitions and  
 2 examples.

Error Level and Type	Definition	Examples
Types of phonological sequencing errors		
Phonological transpositions, exchanges, or Spoonerisms	Two speech sounds swap positions in the same or different words in a sentence	<i>left hemisphere</i> → “heft lemisphere” <i>well made</i> → “mell wade”
Phonological anticipations	An upcoming speech sound occurs earlier in a word or sentence	<i>a reading list</i> → “a leading list.” <i>paddle tennis</i> → “taddle tennis”
Phonological perseverations	An earlier speech sound reoccurs later in a word or sentence	<i>escorting</i> → “escorking”
Types of sequencing errors involving words and phrases		
Word anticipations <sup>b</sup>	An upcoming word or morpheme replaces an earlier one in a sentence	<i>ministers in the church</i> → “churches..” <i>Are you going to be in town on June 22<sup>nd</sup>?</i> → “Are you going to be on town...”
Phrase transpositions, exchanges, or Spoonerisms	Two phrases in an intended sentence swap positions	<i>If you stick around you’ll meet him.</i> → “If you meet him you’ll stick around.” <i>I have to smoke a cigarette with my coffee</i> → “I have to smoke my coffee with a cigarette.”
Types of paradigmatic (non-sequential) errors involving words and phrases		
Word additions <sup>b</sup>	An unintended word or morpheme is added in an intended sentence	<i>is wasting away resources</i> → “is wasting away of resources” <sup>a</sup> <i>I regret having to inform</i> → “I regret for having to inform” <sup>a</sup>
Word substitutions	An unintended word or morpheme substitutes an intended word or morpheme	<i>the native values</i> → “the native vowels” <i>pay be check</i> → “pay by rent”
Word-level omissions <sup>b</sup>	An intended word is omitted in the sentence produced	<i>as much as a surgeon’s knife</i> → “as much a surgeon’s knife” <sup>a</sup>
Word blends	Two context-appropriate words become “fused” together	<i>hilarity /hysterics</i> → “hilarics” <sup>a</sup> <i>swish /swizzle</i> → “swishle” <sup>a</sup>
Phrase blends	Two context-appropriate phrases become fused together	<i>Whoever he is / whatever his name is</i> → “Whoever his name is.” <i>I’m going to mainly point out /talk about</i> → “I’m going to mainly point about”

3 *Note.* Intended utterances are in italics. <sup>a</sup> indicates examples from [46]; all other examples  
 4 are from [43]. <sup>b</sup> indicates examples irrelevant to the lexical, syntactic, or phonological class  
 5 regularity; all other examples obey these sequential class regularities.

6 Under the syntactic class regularity, one phrase substitutes in error for another in the same syntactic  
 7 class: Noun phrases virtually always substitute in error for intended noun phrases rather than for, say,

1 verb phrases, and verb phrases virtually always substitute in error for intended verb phrases rather  
2 than, say, propositions or prepositional phrases. By way of example (from [43]), *We have a computer*  
3 *in our laboratory* misproduced as “We have **our laboratory in a computer**” obeys the syntactic class  
4 regularity because the two interchanged phrases (in bold) belong to the same syntactic class (noun  
5 phrase; see Table 1).

6 Under the phonological class regularity, phonological units virtually always substitute in error for  
7 intended phonological units in the same syllabic position: Syllable-initial consonants substitute with  
8 intended syllable-initial consonants rather than, say, vowels or syllable-final consonants, and syllable-  
9 final consonants substitute with intended syllable-final consonants rather than, say, vowels (see e.g.,  
10 [44]). By way of example (from [13]), *dear old queen* misproduced as “**queer old dean**” obeys the  
11 phonological class regularity because both interchanged consonants (in bold) are syllable-initial (see  
12 Table 1).

13 The “sequential class regularity” (a concept encompassing the lexical, syntactic, and phonological  
14 class regularities, together with analogous regularities in everyday actions) represents the most general  
15 phenomenon established to date in production studies (see [41], pp. 44-61) and applies to  
16 transpositions, anticipations, perseverations, blends, and paradigmatic errors involving phrases, words,  
17 and phonological units (see Table 1 for definitions and examples).

18 The sequential class regularity also carries important theoretical implications. One is that direct  
19 associative links between specific phrases, words, or speech sounds cannot explain how we activate or  
20 retrieve phrases, words, and speech sounds in proper order when we do, and in improper order when  
21 we make sequencing errors (as [13] correctly noted). Another implication is that the activating  
22 mechanisms for retrieving phrases, words, and speech sounds (in proper or improper order) must be  
23 category-specific. For example, anticipation errors must occur when an intended or pre-planned  
24 phrase, word, or speech sound is less “primed” or “readied for activation” (Lashley’s original terms)  
25 than an upcoming phrase, word, or speech sound *in the same sequential category* when their shared  
26 category-specific activating mechanism is applied. As a result, intended and erroneously anticipated  
27 phrases, words, or speech sounds are constrained to belong to the same sequential category (for  
28 detailed theoretical accounts of sequential class regularities, see, e.g., [41], pp. 44-61; [45]).

### 29 1.3. Does H.M. Exhibit Category-specific Aphasia? [47]

30 Under the category-specific aphasia hypothesis, H.M.’s language production deficits resemble  
31 category-specific aphasia (either pure or compound), with impaired retrieval and sequencing of some  
32 but not all lexical categories (e.g., nouns), some but not all syntactic categories (e.g., noun phrases),  
33 and perhaps also some but not all phonological categories (e.g., syllable-final consonants). The  
34 category-specific aphasia hypothesis does not specify which types or how many category-specific  
35 activating mechanisms have been damaged versus spared in H.M. However, if H.M. more often omits  
36 and/or misorders words in some categories relative to memory-normal controls, the activating  
37 mechanisms governing those categories must be impaired under the category-specific aphasia  
38 hypothesis.

39 Conversely, if H.M. produces units in some categories with no more omission and/or order errors  
40 than memory-normal controls, the corresponding category-specific activating mechanisms must be

1 intact under the category-specific aphasia hypothesis. For example, if H.M. omits and/or misorders  
2 nouns no more often than memory-normal controls, then his category-specific mechanism for  
3 retrieving nouns must be intact.

4 Consistent with the category-specific aphasia hypothesis, neuroanatomical and theoretical  
5 considerations suggest that H.M.'s speech may exhibit *selective* impairment, reflecting damage to  
6 *some but not all* category-specific mechanisms for retrieving words and phrases (as in category-  
7 specific aphasia). First, H.M.'s lesion could in principle have impaired many category-specific  
8 activating mechanisms because English has eight major lexical categories (nouns, verbs, adjectives,  
9 adverbs, pronouns, prepositions, conjunctions, and interjections), each of which has several  
10 subcategories (e.g., common versus proper nouns). Second, some of H.M.'s category-specific  
11 activating mechanisms are probably intact because of the fractional nature of his brain damage (see  
12 [12]): Except for the amygdala (which triggers emotional reactions), H.M.'s bilateral lesion did not  
13 *completely* destroy the hippocampus or any other hippocampal region structure that could in principle  
14 house category-specific activating mechanisms for retrieving words, phrases, and speech sounds.

## 15 2. Studies 1-3 in Overview

16 The present research consisted of three studies. Study 1 examined how often H.M. and memory-  
17 normal controls used 21 lexical categories and one syntactic category (noun phrases) on the Test of  
18 Language Competence (TLC) adapted from Wiig and Secord [48] and administered in MacKay et al.  
19 [9]. If H.M.'s language production deficits reflect category-specific aphasia, we expected that H.M.  
20 would reliably underuse some lexical or syntactic categories relative to the controls.

21 Study 2 followed up on a curious finding in Study 1: H.M. used proper names (e.g., *David*) reliably  
22 *more often* than the TLC controls, but he used no lexical category reliably less often. To determine  
23 whether H.M.'s overuse of proper names was specific to speech and/or the TLC, Study 2A (spoken  
24 responses) and Study 2B (written responses) compared how often H.M. and carefully matched  
25 memory-normal controls used proper names when answering episodic memory questions about early  
26 childhood experiences.

27 Study 3 followed up on another curious finding in Study 1: H.M. reliably overused coordinating  
28 conjunctions relative to TLC controls. To understand this result, Study 3 analyzed in detail how H.M.  
29 and carefully matched controls used (and misused) coordinating conjunctions on the TLC, with results  
30 that suggested a "compensation hypothesis" for explaining H.M.'s overuse of coordinating  
31 conjunctions and other structures.

### 32 2.1. Participants

33 Participants in Studies 1-3 were H.M. and healthy, memory-normal controls recruited through their  
34 places of employment in clerical or physical plant positions. The controls were paid for participating  
35 and were carefully matched with H.M. for highest educational degree (high school), native language  
36 (English), background (semi-skilled labor), age at time of test, and mean verbal and performance IQ  
37 scores. H.M.'s combined verbal and performance IQ was 116 at age 44 and 112 at age 71-72.

38 H.M.'s 1953 sub-orbital suction surgery destroyed virtually the entire amygdaloid complex and  
39 partially destroyed several other hippocampal region structures [49]. Partially intact were the

1 entorhinal cortex, the dentate gyrus, the subicular complex, and the posterior half (approximately) of  
2 the hippocampal body (although its functional status was never determined). Completely intact were  
3 H.M.'s neocortex (including Brodmann's areas 44/45), temporal stem, parahippocampal cortex, and  
4 ventral perirhinal cortex except for where thin metal suction tubes passed bilaterally through his  
5 temporal poles [12].

6 Later in H.M.'s life (1992-1993), magnetic resonance imaging (MRI) in Corkin et al. [12] indicated  
7 bilateral cerebellar damage (probably due to long-term dilantin use), but cerebellar involvement in  
8 H.M.'s language deficits described here is unlikely because of four types of evidence reviewed in  
9 MacKay and Johnson [50]. The same MRI study suggested (without data from same-age memory  
10 normal controls) "possible" and at most "minimal" damage to lateral temporal neocortex.

11 Later still (2002-2005), more sophisticated MRI data discounted Alzheimer-related degeneration  
12 relative to four memory-normal controls (unmatched with H.M. for IQ, education, or background) but  
13 suggested vascular changes and cortical thinning with unknown relations to behavior [51]. These  
14 cortical and vascular changes probably followed the present studies (1999), but could have originated  
15 earlier (without detection via the relatively insensitive MRI technology in [12]). Possible causes of  
16 these cortical and vascular changes include (a) an interaction between normal aging and H.M.'s 1953  
17 lesion (see [4]); and (b) transneuronal dendritic degeneration triggered by his hippocampal lesion, a  
18 common occurrence in older adults (see [52]-[53]).

## 19 2.2. Database and Procedures: Studies 1 and 3

20 Because the database for Studies 1 and 3 was the full transcript of participants' responses in  
21 MacKay et al. [9], a brief review of their methods and results is in order. The task, a modified version  
22 of the TLC, consisted of one practice and 20 experimental trials. The goal on each trial was to create a  
23 single grammatical sentence that accurately described a picture and included two or three target words  
24 typed below the picture.

25 Based on stimulus ratings of 10 judges in a preliminary study, MacKay et al. [9] categorized the  
26 TLC word-picture stimuli as *familiar* versus *unfamiliar*. The judges rated as familiar, stimuli depicting  
27 commonly encountered situations, and containing target words that participated in familiar clichés for  
28 describing the pictures; and they rated as unfamiliar, stimuli depicting relatively novel situations and  
29 containing target words not part of familiar clichés for describing the pictures.

30 For unfamiliar stimuli, H.M. included reliably fewer target words than the controls, and described  
31 the pictures reliably less accurately, less grammatically, and less completely than the controls (for the  
32 criteria used in classifying descriptions as grammatical versus ungrammatical and complete versus  
33 incomplete, see [9]). The responses to an unfamiliar TLC stimulus in (7ab) illustrate H.M.'s deficits. A  
34 general description of the word-picture stimulus appears in (7), followed by H.M.'s description in (7a)  
35 and a typical control participant's in (7b). Note that H.M. described (7) inaccurately (e.g., there was no  
36 "lady" in the picture) and omitted the target word *leg* (see 7a), whereas the control participant  
37 accurately described the picture and included both target words (see 7b).

### 38 (7). DESCRIPTION OF AN UNFAMILIAR WORD-PICTURE STIMULUS:

39 *Scene*: A sheer rock cliff in a forest.



1 *Protagonists:* Three men, one climbing up the rock face by hand, one pointing up at the  
2 climber and talking to the third man, who is listening.

3 *Target words:* *fall, leg*

4 (7a). H.M.: “David wanted him to fall and to see what lady’s using to pull himself up  
5 besides his hands.”

6 (7b). Typical Control: “If I fall and break my leg that’s going, not going to be good.”

7 However, H.M.’s deficits were *selective*: For familiar word-picture stimuli in MacKay et al. [9],  
8 H.M. and the controls did not differ in accuracy, completeness, or target word inclusion. Moreover,  
9 H.M.’s deficits were *graded* rather than all-or-none: Re-presenting the same picture reduced without  
10 completely eliminating H.M.’s deficits, and so did asking him to try again (up to seven times) when he  
11 failed to include all of the target words (see the complete transcript of all within-trial utterances of  
12 H.M. and the experimenter for each word-picture stimulus in the supplementary materials). H.M.’s  
13 initial response (8a) and final response (8b) for the word-picture stimulus described in (8) illustrate this  
14 effect of repetition. Note that like the typical controls in (8cd), H.M. produced all three target words  
15 without errors on his final but not initial try.

16 (8). DESCRIPTION OF A FAMILIAR WORD-PICTURE STIMULUS:

17 *Scene:* A sidewalk at a street intersection with a traffic light that reads, “Don’t walk.”

18 *Protagonists:* A small boy, age about four years old, his father, and his older brother.

19 *Action:* The small boy is holding his father’s hand and listens attentively to what his father  
20 is saying (presumably about the “Don’t walk” sign). His older brother looks on.

21 *Target words:* *before, first, across*

22 (8a). H.M. (initial response): “Before at first you cross across.”

23 (8b). H.M. (final response): “Before you cross the street you have to look both ways first.”

24 (8c). Typical Control (sole response): “First they waited before walking across  
25 the street.”

26 (8d). Typical Control (sole response): “And the man is telling the little boy that he must  
27 look first before he crosses the street.”

28 2.2. *Statistical Conventions: Studies 1-3*

29 All statistical analyses followed three non-arbitrary conventions justified in detail in the  
30 supplementary materials: For meaningful statistical comparisons, differences between H.M. and the  
31 controls in absolute scores had to equal or exceed 4.0; when the control standard deviation (*SD*) was  
32 0.0, the difference between H.M. and the controls was 6.0 *SDs* (rather than  $\infty$ ); and only differences  
33 between H.M. and the controls in excess of 2.0 *SDs* were considered reliable.

34 **3. Study 1: Retrieval of Lexical and Syntactic Categories: H.M. versus Controls**

35 Study 1 examined whether H.M. underused one syntactic category (noun phrases) and any of 21  
36 lexical categories relative to memory-normal controls on the modified TLC administered in MacKay et  
37 al. [9]. We first determined the noun phrases (Study 1B) and lexical categories of each word in the

1 participants' responses (Study 1A), and compared the use frequency of each for H.M. versus the  
2 controls. If H.M. suffered from category-specific aphasia, we predicted that he would underuse some  
3 lexical or syntactic categories but not others relative to the controls.

### 4 3.1. Study 1A: Retrieval of Lexical Categories

5 Study 1A examined how often participants on the TLC used words in 21 lexical categories,  
6 including nouns (common and proper), pronouns, verbs (transitive, intransitive, and auxiliary), noun  
7 modifiers (indefinite articles, definite articles, canonical adjectives, demonstrative adjectives, and  
8 possessive adjectives), verb modifiers (canonical adverbs, time adverbs, and frequency adverbs),  
9 prepositions (canonical prepositions, place prepositions, and time prepositions), and conjunctions  
10 (coordinating, subordinating, and correlative).

#### 11 3.1.1. Method

##### 12 3.1.1.1. Participants

13 The participants were H.M. at age 72 and eight controls who did not differ reliably from H.M. in  
14 mean age (70;  $SD = 4.6$ ) or mean combined verbal and performance IQ score (113;  $SD = 9.67$ ).

##### 15 3.1.1.2. Database and Procedures

16 The database was the full set of transcribed responses of H.M. and the controls on the TLC (see [9]  
17 for detailed transcription procedures). The goal on each trial was to accurately describe a picture using  
18 two or three pre-specified target words in a single grammatical sentence, and a response was defined as  
19 a string of words bounded by trial onset, trial offset, or a substantive comment from the experimenter  
20 (e.g., a request to try again). We chose this database as providing more useable data for lexical  
21 category analyses than the smaller MacKay et al. [9] database, which included only H.M.'s best  
22 response on each TLC trial. H.M.'s responses in the present database are shown in the supplementary  
23 materials, together with a model (complete and error-free) description for the practice and  
24 experimental stimuli.

25 To prepare the database for lexical category analyses, we edited out irrelevant aspects of the  
26 responses, including self-corrected errors and error markers (e.g., "no", "I mean", "sorry", "um", "er",  
27 and "not"), experimenter comments (e.g., "OK", "good", and "mm hm"), on-line revisions or  
28 repetitions (e.g., "bus... school bus"), interjections and other common dysfluencies (e.g., "um" and  
29 "uh"), word and phrase repetitions, false starts, and extraneous or off topic comments (e.g., "it isn't  
30 pointed out here what it is", and "no that doesn't work"). These edited-out aspects became part of the  
31 speech error analyses in MacKay, Johnson and Hadley [54].

32 As main analyses, we tabulated the lexical category of each word in the database using the sentence  
33 context together with the lexical class specifications in Dictionary.com. We then computed the use  
34 frequency for common nouns (e.g., *enemy*, *uncle*, *goal*), proper names (e.g., *Canada*, *Sandy*), transitive  
35 verbs (e.g., *toss*, *love*), intransitive verbs (e.g., *exist*, *stink*), auxiliary verbs (e.g., *could*, *should*),  
36 canonical adjectives (e.g., *diligent*, *red*, *short*), demonstrative adjectives (e.g., *this*, *those*), possessive  
37 adjectives (e.g., *your*, *her*), adverbs of time (e.g., *yesterday*, *soon*), adverbs of frequency (e.g., *often*,

1 sometimes), pronouns (e.g., *she, we, his, yours, himself*), canonical prepositions (e.g., *of, for*),  
 2 prepositions of time (e.g., *at 6:00, for a year*), prepositions of place (e.g., *at my place, in the box*),  
 3 coordinating conjunctions (e.g., *and, or, but*), subordinating conjunctions (e.g., *although, after,*  
 4 *because*), indefinite articles (e.g., *a/an*), and definite articles (e.g., *the*).

### 5 3.1.2. Results and Discussion

#### 6 3.1.2.1. Absolute Use Frequencies

7 Table 2 shows absolute use frequencies of lexical categories in the full set of transcribed responses  
 8 for H.M. and the controls (means and *SDs*). H.M. and the controls both used 18 of the lexical  
 9 categories, but the controls used correlative conjunctions (e.g., *either/or* and *both/and*), whereas H.M.  
 10 did not, and H.M. used proper names (e.g., *Melanie, David, and Gary*), whereas the controls did not  
 11 (see Table 2). However, H.M. used 955 words overall versus a mean of 233 (*SD* = 120.88) for the  
 12 controls, a reliable 5.98 *SD* difference that rendered absolute use frequencies unsuitable for statistical  
 13 analysis and called for the analyses of relative use frequency examined next.

14

15 **Table 2.** Absolute and relative use frequency of lexical categories for all words in Study 1.

General Lexical Category	Specific Lexical Category	Examples	Absolute Use Frequency		Relative Use Frequency			
			H.M.	Controls	H.M.	Controls Mean	Controls <i>SD</i>	Frequency Difference Scores in <i>SDs</i>
Nouns	Common Nouns	<i>enemy, uncle, goal</i>	108	33.40	11.31	14.20	3.21	-0.90
	Proper Nouns	<i>Canada, Sandy</i>	7	0.00	0.73	0	0	6.00*
Pronouns	Pronouns	<i>she, we, his, yours</i>	146	28.40	15.29	11.98	3.32	1.00
Nominal Modifiers	Indefinite Articles	<i>a/an</i>	7	4.80	0.73	1.99	1.62	-0.78 <sup>a</sup>
	Definite Articles	<i>the</i>	26	12.80	2.72	5.78	4.69	-0.65
	Canonical Adjectives	<i>diligent, red, short</i>	61	17.20	6.39	8.50	2.91	-0.73
	Demonstrative Adjectives	<i>this, those</i>	37	6.80	3.87	2.82	0.98	1.07
	Possessive Adjectives	<i>your, her</i>	9	3.00	0.94	1.20	0.74	-0.35

Verbs	Main Verbs: Transitive	<i>toss, love</i>	101	19.60	10.58	9.18	2.20	0.64
	Main Verbs: Intransitive	<i>exist, stink</i>	125	27.60	13.06	11.87	1.98	0.62
	Auxiliary Verbs	<i>could, should</i>	65	24.20	6.81	9.82	2.68	-1.12
Verb Modifiers	Canonical Adverbs	<i>really, not, only</i>	98	19	10.26	7.81	2.69	0.91
	Adverbs of Time	<i>yesterday, soon</i>	5	1.00	0.52	0.66	0.64	-0.21
	Adverbs of Frequency	<i>often, sometimes</i>	1	0.20	0.10	0.10	0.21	0.04 <sup>a</sup>
Prepositions	Canonical Prepositions	<i>of, with</i>	17	6.8	1.78	2.55	1.30	-0.59
	Prepositions of Time	<i>at 6:00, for a year</i>	3	0.60	0.31	0.42	0.71	-0.15 <sup>a</sup>
	Prepositions of Place	<i>at my place, in the box</i>	25	5.20	2.62	1.83	1.16	0.68
Conjunctions	Coordinating Conjunctions	<i>and, or, but</i>	68	9.60	7.12	3.92	0.93	3.45*
	Subordinating Conjunctions	<i>although, after, because</i>	32	9.00	3.35	3.46	1.33	-0.08
	Correlative Conjunctions	<i>either/or, both/and</i>	0	1.60	0.00	1.09	1.42	-0.77 <sup>a</sup>
Interjections	Interjections	<i>well, oh</i>	14	1.8	1.47	0.84	0.59	1.06
N/%			955	232.60	99.96	100.02		

1        *Note.* Relative frequency difference scores are the relative use frequency for H.M. minus  
2        the mean for controls (in *SDs*). \* indicates a statistically reliable difference score; <sup>a</sup>  
3        indicates differences in absolute *Ns* too small for meaningful statistical analysis.

#### 4    3.1.2.2. Relative Use Frequencies

5        Table 2 shows relative frequencies by lexical category for H.M. and the controls (means and *SDs*),  
6        with relative use frequency calculated as the absolute use frequency for a lexical category divided by  
7        overall size of a participant's edited transcript multiplied by 100. Also shown in Table 2 are the  
8        relative frequency difference scores, calculated as the relative use frequency for H.M. minus the mean  
9        for the controls divided by the control *SD* for each lexical category. Relative frequency difference  
10       scores ranged from -1.12 to +6.0 *SDs* but were never meaningfully greater for the memory-normal  
11       controls than H.M. for any lexical category: Although the controls used relatively more correlative  
12       conjunctions than H.M., the difference in absolute *N* for H.M. (0) versus the control mean (1.60) was

1 too small for meaningful analysis. Absolute *Ns* were likewise too small for meaningful analyses of  
 2 relative use frequencies for indefinite articles, prepositions of time, and adverbs of frequency (see  
 3 Table 2).

4 However, relative use frequencies for two lexical categories were reliably greater for H.M. than the  
 5 controls: coordinating conjunctions (a 3.45 *SD* difference) and proper names (a 6.0 *SD* difference by  
 6 convention), findings reminiscent of H.M.'s overuse of cliché phrases in MacKay, Burke et al. [6]. To  
 7 rule out H.M.'s reduced target word use as a factor in these results, we reanalyzed the database  
 8 excluding the target words, and relative use frequency in this second analysis was again reliably  
 9 greater for H.M. than the controls for both proper names (6.0 *SDs* by convention) and coordinating  
 10 conjunctions (2.07 *SDs*; see Table 3).

11 **Table 3.** Absolute and relative use frequency of Study 1 lexical categories, excluding target words.  
 12

General Lexical Category	Specific Lexical Category	Examples	Absolute Use Frequency		Relative Use Frequency			
			H.M.	Controls	H.M.	Controls Mean	Controls <i>SD</i>	Frequency Difference Scores in <i>SDs</i>
Nouns	Common Nouns	<i>enemy, uncle, goal</i>	81	28.60	9.44	14.14	3.56	-1.32
	Proper Nouns	<i>Canada, Sandy</i>	7	0.00	0.82	0.00	0	6.00*
Pronouns	Pronouns	<i>she, we, his, yours</i>	146	27.6	17.02	13.97	4.58	0.66
Nominal Modifiers	Indefinite Articles	<i>a/an</i>	7	4.80	0.82	2.43	2.12	-0.76
	Definite Articles	<i>the</i>	26	12.80	3.03	6.77	5.36	-0.69
	Canonical Adjectives	<i>diligent, red, short</i>	44	10.20	5.13	5.63	1.91	-0.23
	Demonstrative Adjectives	<i>this, those</i>	37	6.80	4.31	3.39	1.26	0.73
	Possessive Adjectives	<i>your, her</i>	9	3.00	1.05	1.40	0.90	-0.39
Verbs	Main Verbs: Transitive	<i>toss, love</i>	95	17.60	11.07	9.40	1.78	0.94
	Main Verbs: Intransitive	<i>exist, stink</i>	111	21.80	12.94	10.78	2.15	1.01
	Auxiliary Verbs	<i>could, should</i>	65	23.20	7.58	11.19	2.92	-1.24
Verb Modifiers	Canonical Adverbs	<i>really, not, only</i>	88	18.40	10.26	9.01	3.43	0.36
	Adverbs of Time	<i>yesterday, soon</i>	2	0.20	0.23	0.07	0.16	<sup>a</sup>

	Adverbs of Frequency	<i>often, sometimes</i>	1	0.20	0.12	0.11	0.24	<sup>a</sup>
Prepositions	Canonical Prepositions	<i>of, with</i>	17	6.60	1.98	2.91	1.63	-0.57
	Prepositions of Time	<i>at 6:00, for a year</i>	1	0	0.12	0.00	0.00	<sup>a</sup>
	Prepositions of Place	<i>at my place, in the box</i>	24	4.80	2.80	1.96	1.15	0.72
Conjunctions	Coordinating Conjunctions	<i>and, or, but</i>	58	7.40	6.76	2.92	1.85	2.07*
	Subordinating Conjunctions	<i>although, after, because</i>	25	5.60	2.91	2.19	1.63	0.45
	Correlative Conjunctions	<i>either/or, both/and</i>	0	0.80	0.00	0.70	0.91	<sup>a</sup>
Interjections	Interjections	<i>well, oh</i>	14	1.8	1.63	1.03	0.75	0.80
N/%			858	202.20	100.02	100.00		

Note. \* indicates a statistically reliable difference score; <sup>a</sup> indicates absolute Ns too small for meaningful statistical analysis.

In summary, the controls exhibited reliably greater relative use frequency than H.M. for no lexical category, contrary to the category-specific aphasia hypothesis, and neither sample size nor target word inclusion constrained this conclusion. However, three puzzling results in Study 1 warranted further research: H.M.'s reliable overuse of proper names and coordinating conjunctions relative to the controls, and his non-use of correlative conjunctions, e.g., *either/or*, and *both/and* (an ambiguous result because differences in absolute Ns for H.M. versus the controls for this lexical category were too small for meaningful analysis).

Understanding these puzzling results was a primary goal in Studies 2-3 and MacKay et al. [54]. Study 2 examined whether H.M. overused proper names in new tasks administered at a younger age, and MacKay et al. analyzed H.M.'s use and misuse of proper names and correlative conjunctions in detail. Study 3 took parallel steps to understand H.M.'s Study 1 overuse of coordinating conjunctions by analyzing his use and misuse of coordinating conjunctions in detail.

### 3.2. Study 1B: Retrieval Frequency of Noun Phrases: H.M. versus the Controls

Study 1B resembled Study 1A except that a syntactic structure was the unit of analysis. By definition, syntactic structures combine one or more words to form a phrase or proposition, and Study 1B analyzed how often H.M. and the controls retrieved noun phrases, a major syntactic structure in English. In standard definitions [55–58], noun phrases combine a noun with modifiers or complements, as in *that important point*, a noun phrase with head noun *point* and two modifiers: a demonstrative adjective (*that*) and a canonical adjective (*important*).

The question in Study 1B was whether H.M. uses noun phrases with lower relative frequency than memory-normal controls, as in a subclass of category-specific aphasia where the ability to construct or

1 retrieve some syntactic structures but not others is impaired. By way of illustration, aphasic Y in (6a)  
2 used noun phrases (e.g., *the same thing*, and *the brain*) and verb phrases (e.g., *is smart*) but not the  
3 complement structures expected in normal descriptions of the fox and crow fable, e.g., *for the fox to*  
4 *steal* and *to trick the crow* in (6c), which suggests impairment in constructing or retrieving  
5 complement structures but not noun phrases or verb phrases.

### 6 3.2.1. Method

7 Participants and procedures were identical to Study 1 except that we used the smaller TLC database  
8 of MacKay et al. ([9]; see the supplementary materials) because it contained only H.M.'s best response  
9 on any given TLC trial, thereby reducing the number of uncorrected grammatical errors that could  
10 complicate the syntactic structure analyses in Study 1B.

11 Study 1B tabulated all multi-word noun phrases in this database for H.M. and the controls, ignoring  
12 errors (see [54] for detailed error analyses) and single-word noun phrases (because Study 1 had already  
13 analyzed single-word usage).

### 14 3.2.2. Results and Discussion

15 The mean number of noun phrases per response was 1.72 for H.M. versus 2.21 ( $SD = 1.38$ ) for the  
16 controls, a non-reliable 0.36  $SD$  difference. The mean number of words per noun phrase also did not  
17 differ for H.M. (2.32 words) versus the controls (2.21 words;  $SD = 0.55$ ), a non-reliable 0.60  $SD$   
18 difference. These results indicate that H.M. did not underuse noun phrases relative to the controls, and  
19 suggest that (a) he did not suffer category-specific aphasia involving noun phrases, and (b) his  
20 category-specific mechanisms for retrieving noun phrases were intact.

## 21 4. Study 2: Proper Name Use in Answering Episodic Memory Questions

22 Study 2 followed up on the reliably greater use of proper names (e.g., *Gary*) for H.M. than memory-  
23 normal controls in Study 1. To determine whether this result was specific to the TLC, to spoken speech,  
24 or to H.M.'s age (72 in Study 1), Study 2 examined H.M.'s proper name use in spoken and written  
25 episodic memory tasks at age 44 and 71. In both tasks, H.M. and age-matched memory-normal  
26 controls answered episodic memory questions concerning childhood events, an appropriate domain  
27 choice because H.M.'s early childhood memories are intact by common assumption (see e.g., [59]).  
28 However, answers were spoken in Study 2A versus written in Study 2B.

### 29 4.1. Study 2A: H.M.'s Spoken Use of Proper Names at Age 44

30 Study 2A used analytic procedures resembling Study 1A to tabulate use frequencies for an  
31 experimental category (proper names) and a control category (pronouns) in transcripts of spoken  
32 answers to episodic memory questions concerning childhood events. We chose pronouns as the  
33 appropriate control category for proper names because (a) proper names and pronouns represent  
34 equivalent ways of designating a referent, e.g., a person or object, and (b) unlike proper names,  
35 pronouns did not differ in use frequency for H.M. versus the controls in Study 1. Under the assumption  
36 that neither task nor age influenced Study 1 results, we expected identical results in Study 2A: reliably

1 greater use for the experimental category (proper names) but not the control category (pronouns) for  
2 H.M. relative to the controls.

### 3 4.1.1. Method

#### 4 4.1.1.1. Participants

5 The participants were H.M. at age 44, and seven controls with mean age 45 and combined verbal  
6 and performance IQ 117.72 ( $SD = 13.40$ ), a non-reliable 0.10  $SD$  difference relative to H.M.

#### 7 4.1.1.2. Materials and Procedures

8 The materials were six episodic memory questions from Marslen-Wilson [2], a 182-page transcript  
9 of conversations between Marslen-Wilson and H.M. at age 44. All six questions addressed childhood  
10 experiences that occurred prior to age nine, e.g., *What is your first or earliest memory?* Excluded were  
11 questions calling for explicit recall of proper names and “follow-up” questions that Marslen-Wilson  
12 asked about earlier H.M. responses (thereby ensuring comparable response contexts for H.M. and the  
13 controls).

14 Following Marslen-Wilson’s [2] procedures as closely as possible, the controls heard the questions  
15 in one-on-one conversations with an experimenter in a laboratory setting and their spoken responses  
16 were tape-recorded and later transcribed (see [9] for transcription procedures). As in Study 1, we then  
17 tabulated the use frequency of proper names and pronouns from the transcripts.

#### 18 4.1.2. Results and Discussion

19 The mean number of words per response was 617 for H.M. versus a mean of 244.86 for the controls  
20 ( $SD = 116.19$ ), a reliable 3.20  $SD$  difference that called for relative frequency analyses of our main  
21 results.

##### 22 4.1.2.1. Relative Frequency Analyses

23 Consistent with Study 1 results, proper names made up 6.48% of H.M.’s words versus a mean of  
24 2.58% for the controls ( $SD = 1.48\%$ ), a reliable 2.64  $SD$  difference favoring H.M. Example (9a)  
25 illustrates this finding for H.M. and a typical control participant responding to the question “What is  
26 your first memory?”: The control used no proper name words (see (9b)), whereas H.M. used five:  
27 *Hartford, Manchester, South Coventry, and Burnside* (see (9a)).

28 (9). Experimenter question: “What is your first memory, the earliest thing you remember?”  
29 (9a). H.M.: When I .. tell you that ‘tis ... you see .... may have been ... that was when I was  
30 going to high school ... that .. and .. but before that when I was going to the private  
31 kindergarten, two houses up, from where I lived, when I went to high school, but the other  
32 places I lived in Hartford, and Manchester, and then South Coventry ... before coming back  
33 to (chuckles) Burnside avenue again.

34 (9b). Typical control participant: “Oh, way back, uh .... two. I was two because I have seen  
35 pictures of myself in a snowsuit, and I outgrew it very quickly, but when I was two I wore



1 it and when I was two I remember walking in my grandma's kitchen and pointing up at my  
2 snowsuit hanging on the kitchen door because I wanted to put it on, and it's very clear - it  
3 was light blue."

4 Also consistent with Study 1 results, pronouns made up 4.86% of H.M.'s words versus a mean of  
5 4.91% for the controls ( $SD = 2.00\%$ ), a non-reliable difference.

#### 6 4.1.2.2. Type and Token Analyses: Pronouns and Proper Names

7 To illustrate the distinction between types versus tokens, H.M. retrieved 10 different types of proper  
8 names overall (*Burnside Avenue, Connecticut, East Hartford, Frankie, Hartford, Jimmie Wood, L.T.*  
9 *Wood, Manchester, South Coventry, and Spruce Street*) and the seven controls retrieved 38 different  
10 proper name types overall (*Bad Peter, Black, Carter, Camp David, Central City, Colorado, Christmas,*  
11 *Denver, Drew Bryant, Easter, F-15 fighter, Mrs. Folgers, Germany, Gigantic Cleaners, Harley,*  
12 *Halloween, Hitler, Hog Days, Illinois, JFK, Jerry Lewis, Kentucky, Kewanee, Labor Day, Ms. Hanbee,*  
13 *New York, Nixon, Pokie, Puyallup, Reagan, SALT I, SALT II, Satan, Saturday, Susan, Tehran,*  
14 *Vietnam, Westwood Elementary*). However, H.M. retrieved 24 proper name tokens because he repeated  
15 *Burnside Avenue* seven times, *East Hartford* six times, and *Hartford* once, and the seven controls  
16 retrieved 52 proper name tokens overall because they repeated *Labor Day* and *New York* three times,  
17 *Carter* twice, and *Denver, Easter, Harley, Hog Days, Jerry Lewis,* and *Reagan* once.

18 The present type and token analyses used lexical items rather than words as the unit of analysis. To  
19 illustrate this distinction, *South Coventry* represents a single name or lexical item but contains two  
20 words, so that (9a) contained five proper name words but only four lexical items: *Hartford,*  
21 *Manchester, Burnside,* and *South Coventry*. After counting the pronoun and proper name types and  
22 tokens for each participant, we calculated tokens-per-type ratios as a measure of how often participants  
23 repeated units that they used.

24 H.M. used no more pronoun types than the controls, with 6 different pronoun types for H.M. versus  
25 a mean of 4.17 for the controls ( $SD = 1.07$ ), a non-reliable 1.71  $SD$  difference. The tokens-per-type  
26 ratio for pronouns also did not differ for H.M. (5.83) versus the mean for the controls (3.85;  
27  $SD = 2.27$ ), a non-reliable 0.87  $SD$  difference.

28 The parallel tokens-per-type analysis for proper names yielded 10 proper name types for H.M.  
29 versus a mean of 6.33 for the controls ( $SD = 3.43$ ), a non-reliable 1.07  $SD$  difference. However, the  
30 tokens-per-type ratio was 2.4 for H.M. versus a mean of 1.09 for the controls ( $SD = 0.123$ ), a reliable  
31 10.65  $SD$  difference.

32 We repeated our tokens-per-type analyses for pronouns and proper names using relative frequencies  
33 as the unit of analysis and obtained the same results, ruling out the larger number of words in H.M.'s  
34 output as a possible explanation for his tendency to repeat proper names. Also ruled out as a factor  
35 were the topics of the questions because H.M.'s proper name use was usually irrelevant to Marslen-  
36 Wilson's questions, reflecting a deliberate topic shift to proper names (see e.g., (9a)).

37  
38 In summary, our tokens-per-type analyses indicated that H.M. repeated proper names but not  
39 pronouns reliably more often than memory-normal controls. This finding again indicates that proper

1 names represent a special lexical category for H.M., and calls for qualification of the generalization  
2 that H.M. has a general tendency to repeat [3,6,11]. Despite repeating a wide range of forms reliably  
3 more often than memory-normal controls, including familiar stories, paragraphs, sentences, phrases,  
4 and common clichés, H.M. does not have a *general* tendency to repeat because he repeated proper  
5 name types but not pronoun types more often than controls.

#### 6 4.1.2.3. Content Analyses of Proper Name Use

7 For participants using four or more proper name types, we analyzed the content of their proper  
8 names. These analyses revealed two reliable content differences between H.M.'s proper names versus  
9 the controls'. First, 70% of H.M.'s proper names were place names (e.g., *Burnside Avenue*,  
10 *Connecticut*, *East Hartford*, *Hartford*, *Manchester*, *South Coventry*, and *Spruce Street*) versus a mean  
11 of 23.3% for the controls ( $SD = 6.11$ ), a reliable 7.64  $SD$  difference. Second, 70% of H.M.'s place  
12 names were street and city names versus a mean of 13% for the controls. Overall then, 49% of H.M.'s  
13 proper names were street (e.g., *Burnside Avenue*, *Spruce Street*) and city (e.g., *East Hartford*,  
14 *Hartford*, *Manchester*, and *South Coventry*) names versus a mean of 3.03% ( $SD = 6.41$ ) for the  
15 controls, a reliable 7.17  $SD$  difference.

16 Were H.M.'s street names accurately recalled episodic memories or were they imagined or  
17 fabricated? To illustrate this issue, H.M.'s repeated reference to high school in example (9a) represents  
18 an unlikely "first childhood memory" because high school by definition falls outside early childhood.

19 Although appropriate in some contexts, memory-type and memory-accuracy questions are  
20 inappropriate in the present context: When comparing the use frequency of equivalent ways to express  
21 the same concept, here proper names versus pronouns, it matters not whether the basis for use is  
22 irrelevant discourse, imagined facts or events, or memories for semantic facts versus unique personally  
23 experienced events.

#### 24 4.2. Study 2B: H.M.'s Written Use of Proper Names at Age 71

25 Study 2B resembled Study 2A except that the participants were older and answered visually  
26 presented episodic memory questions in writing rather than speech in order to test whether memory  
27 factors influenced Study 1 results: With written stimuli and responses, participants needed to recall  
28 neither the questions nor their answers (as they unfolded), and we expected different results in Study  
29 2B if these memory factors affected prior results, but the same results (greater proper name use for  
30 H.M. than the controls) if they did not affect prior results.

#### 31 4.2.1. Method

##### 32 4.2.1.1. Participants

33 The participants were H.M. at age 71, and three controls with mean age 70 (range 67-74) and  
34 combined verbal and performance IQ 119.1 ( $SD = 5.02$ ), a non-reliable 1.41  $SD$  difference relative to  
35 H.M.

##### 36 4.2.1.2. Procedures and Materials

1 The participants received a five-page booklet with an autobiographical question heading each page,  
2 followed by the instruction: Write as much as you want in answering the question. It is not necessary  
3 to fill the entire page. Do not worry about exact spelling.

4 The experimenter repeated the instructions and read each question aloud for H.M. but not the  
5 controls. The questions were: *What is your earliest memory? Can you describe any children in your*  
6 *kindergarten class? Can you describe any children in your grade school? Describe any single event*  
7 *when you were 7 or younger involving your mother. Describe any single event when you were 7 or*  
8 *younger involving your father.* Response duration was determined via stopwatch.

#### 9 4.2.2. Results and Discussion

##### 10 4.2.2.1. Number of Words Per Response

11 We removed from analyses two questions eliciting one-word and irrelevant responses: H.M. and  
12 two controls who had not attended kindergarten answered “no” to *Can you describe any children in*  
13 *your kindergarten class?*; and H.M. answered *Can you describe any children in your grade school?*  
14 with an irrelevant string of abbreviated proper names (see (10)). In response to experimenter questions  
15 following (10), H.M. indicated that “MAN.” stood for *Manchester*; “S.P.S.” for *Saint Peter’s School*;  
16 and “HTFD” for *Hartford Fire Department*, and we decided that including this irrelevant response  
17 would have biased present results in favor of our hypothesis (greater proper name use for H.M. than  
18 the controls).

19 (10). H.M. (written answer to the question *Can you describe any children in your grade*  
20 *school?* Underlining and punctuation as per the original): MAN. S.P.S. HTFD.

21 For the remaining questions, the overall mean number of words per response was 17.67 for H.M.  
22 versus 26.56 for the controls ( $SD = 4.44$ ), a reliable 2.00  $SD$  difference that called for relative  
23 frequency analyses of our main results.

##### 24 4.2.2.2. Relative Use Frequency

25 Proper names made up 11.32% of the words in H.M.’s responses versus a mean of 1.24% for the  
26 controls’ ( $SD = 2.11$ ), a reliable 4.81  $SD$  difference. This replication of earlier results indicated that (a)  
27 H.M. retrieved proper names with greater-than-normal frequency when written questions and  
28 responses obviated the need to recall either the questions or his own ongoing responses, and (b) H.M.  
29 overused proper names in three tasks: answering episodic memory questions about childhood events in  
30 speech and writing and creating spoken sentences on the TLC (Study 1).

##### 31 4.2.2.3. Response Duration

32 Mean overall response durations were about 248 s for H.M. versus 100 s ( $SD = 34$ ) for the controls,  
33 a reliable 4.35  $SD$  difference attributable in part to H.M.’s cerebellar damage. Because the controls  
34 produced reliably more words per response than H.M., mean time per word was also reliably longer for  
35 H.M. than the controls.

## 1 4.2.2.4. Uncorrected Errors

2 With misspellings excluded, H.M. produced more uncorrected errors than the controls. H.M.'s  
 3 handwritten response to the question *What is your earliest memory?* illustrates two such errors (see  
 4 Figure 1): “school grade” instead of *grade school*, and “where I lived when I lived when I returned to  
 5 high school”, where H.M. presumably failed to cross out *when I lived*, a noteworthy non-correction  
 6 because (a) this error rendered his sentence ungrammatical, and (b) H.M. crossed out several lesser  
 7 errors in Figure 1.

8 Overall, H.M. produced eight uncorrected word- and phrase-level errors versus a mean of 0.60 for  
 9 the controls ( $SD = 0.35$ ), a reliable 21.14  $SD$  deficit. This finding extends H.M.'s deficits in correcting  
 10 self-produced errors to written speech and rules out time constraints and problems in recalling his just-  
 11 produced output as causal factors: In Study 2B, there were no time constraints and H.M. could see and  
 12 correct his handwritten responses without having to recall his prior output.

13 **Figure 1.** Handwritten responses to the question *What is your earliest memory?* with  
 14 proper names italicized in a verbatim transcription. (a) H.M.: “Kindergarten was two  
 15 houses from where I lived when I lived when I returned to high school. first I went to  
 16 school grade in *Manchester* and High school in *Htfd Willimantic* + then *E.H.*” (*Htfd*  
 17 represents Hartford; *E.H.* represents East Hartford). (b) Typical control participant: “My  
 18 first doll “*Flossie*” was given to me by a favorite uncle when I was probably 4 years old.”

19  
 20 (a)

Kindergarten was two houses from where I lived  
 when I lived when I returned to <sup>high</sup> school. I went to school  
 grade in *Manchester* and High school in ~~the~~ <sup>Htfd</sup> *Willimantic* + ~~then~~  
~~then~~ *E.H.*

21  
 22  
 23 (b)

My first doll, “*Flossie*” was given to me  
 by a favorite uncle when I was  
 probably 4 years old.

24  
 25 4.2.2.5. Response Coherence

26 Although coherence or relevance problems were too infrequent for meaningful statistical analyses,  
 27 H.M. produced several notable examples, such as his reference to *high school* in Figure 1, which was  
 28 clearly incoherent with the topic, *your earliest memory*. Because H.M. could have maintained  
 29 coherence by reading the questions and his own written responses in Study 2B, such examples suggest

1 that forgetting or memory problems cannot fully explain his basically similar coherence problems in  
2 MacKay, Burke et al. [6], and MacKay et al. [3,9,10].

### 3 4.2.3. Subsidiary Results: Unusual Abbreviations, Letter Cases, Underlining and Graphemic Errors

4 Graphemic characteristics differed for H.M. versus the controls in two ways: graphemic fluency and  
5 unusual abbreviations, letter cases, and underlining. Handwriting was more fluent and less error-prone  
6 for the controls than H.M., a difference attributable to H.M.'s cerebellar damage. For example, in  
7 Figure 1, H.M. substituted M for the N in *Manchester*, and retraced the A in *Kindergarten* and the O in  
8 *houses*, whereas the controls virtually never retraced or misproduced letters.

9 Unlike the controls, H.M. also produced unorthodox abbreviations, letter cases, and underlining.  
10 For example, H.M. abbreviated *East Hartford* as "E.H." in Figure 1, perhaps to economize on the  
11 effort that his cerebellar motor difficulties demanded. However, motor difficulties cannot explain  
12 H.M.'s capitalization errors and unorthodox use of underlining, as when he underlined the pronoun *I*  
13 for no apparent reason, incorrectly capitalized the first *H* in *high school*, and failed to capitalize the  
14 sentence-initial word *First* in Figure 1, all without correction. By contrast, the controls never produced  
15 unusual abbreviations, inappropriate case or inexplicable underlining, a reliable 6.0 *SD* difference by  
16 convention (see the typical control response in Figure 1).

### 17 4.3. General Discussion

18 H.M.'s overuse of proper names in Studies 1 and 2 has no simple explanation and warrants further  
19 research. For example, H.M. did *not* overuse proper names because they are easily retrieved or  
20 encoded: Proper names are in fact more difficult to encode and retrieve than other types of information  
21 about people such as their (common noun) occupation (see e.g., [61–63]). However, based on  
22 extensive analyses of encoding and retrieval errors on the TLC, MacKay et al. [54] concluded that  
23 H.M.'s overuse of proper names reflects compensation processes resembling those examined in Study  
24 3.

## 25 5. Study 3: Compensation Underlying H.M.'s Use and Misuse of *and*

26 The question in Study 3 was why H.M. used reliably more coordinating conjunctions than memory-  
27 normal controls in Study 1. As a first step in addressing this question, we analyzed how often  
28 participants used various types of coordinating conjunctions on the TLC. To anticipate the results of  
29 these use frequency analyses, H.M. overused *and* but no other coordinating conjunction relative to the  
30 controls. This finding called for further analyses of how H.M. used and misused *and*, and results of  
31 those analyses suggested that H.M. overused *and* to compensate for deficits in creating novel sentence-  
32 level plans.

### 33 5.1. Method

#### 34 5.1.1. Participants and database

35 The participants and database were identical to Study 1.

### 1 5.1.2. Procedures

2 We first analyzed the use frequency of three types of coordinating conjunctions in the TLC  
3 database: **and** (as in *I went to Boston and Cambridge*), **but** (as in *He shot, but missed*), and **so** (as in *I*  
4 *stood up so I could see*). Because **or**, as in *Did you walk or take a cab?*, was a target word, we did not  
5 analyze use frequency for this fourth type of coordinating conjunction (but see [54] for detailed  
6 analyses of H.M.'s use and misuse of *or*). Based on the results of our use frequency analyses, Study 3  
7 then analyzed how H.M. used (and misused) *and*, together with related ways of conjoining  
8 propositions (e.g., temporal and causal subordinating conjunctions).

### 9 5.2. Main Results

#### 10 5.2.1. Use Frequency of *and*, *but*, and *so*

11 The mean use frequency of *and* was 0.057 per word for H.M. versus 0.024 for the controls  
12 ( $SD = 0.016$ ), a reliable 2.06 SD difference, with more instances for H.M. than the controls. The mean  
13 use frequency of *but* was 0.003 per word for H.M. versus 0.010 for the controls ( $SD = 0.007$ ), a non-  
14 reliable 1.0 SD difference. The mean use frequency of *so* was too infrequent for meaningful statistical  
15 analysis: 0.001 per word for H.M. versus 0.057 per word for the controls ( $SD = 0.016$ ). In short, *and*  
16 was the only non-target coordinating conjunction that H.M. used reliably more often than the memory-  
17 normal controls.

#### 18 5.2.2. The Functions of *and*

19 As a first step toward understanding why H.M. overused *and*, we analyzed use frequencies for the  
20 three major functions of *and*: to conjoin individual words (e.g., *Mary and I*), to conjoin noun phrases  
21 (e.g., *the administration building and its inhabitants*, and verb phrases (e.g., *have our cake and eat it*  
22 *too*), and to conjoin propositions (e.g., *She wants to behave herself and he likes that*). Unlike the  
23 controls, H.M. only used *and* to conjoin propositions and never to conjoin noun phrases, verb phrases,  
24 or individual words (with one possible exception and two indeterminate instances where errors  
25 obscured what units H.M. was trying to conjoin).

#### 26 5.2.3. Use Frequency of *and* versus Other Ways of Conjoining Propositions

27 Why did H.M. overuse *and* but no other coordinating conjunction relative to the controls? And why  
28 did H.M. only use *and* to conjoin propositions rather than phrases or isolated words? Related to these  
29 questions is a third question: Does H.M. also overuse other means of conjoining propositions relative  
30 to the controls? To address this question, Study 3 examined how often H.M. conjoined propositions  
31 using correlative conjunctions (*either . . . or*, *neither . . . nor*, *both . . . and*, and *not only . . . but also*);  
32 subordinating conjunctions (*after*, *before*, *unless*, *although*, *if*, *until*, *as*, *since*, *when*, *because*, *whereas*,  
33 and *while*); and complementation structures (infinitive clauses, as in *He hopes to leave early*; gerund  
34 clauses, as in *He enjoys doing that*; *that* clauses, as in *She hinted that we should get the lead out*; and  
35 *who* clauses, as in *He knew who came*).

1 Together, mean use frequencies for correlative conjunctions, subordinating conjunctions, and  
 2 propositional complementation did not differ reliably for H.M. (0.078 per word) versus the controls  
 3 (0.078 per word;  $SD = 0.021$ ), unlike propositional conjunction via *and*, which did differ reliably for  
 4 H.M. (0.139 per word) versus the controls (0.102 per word;  $SD = 0.018$ ). In short, relative to the  
 5 controls, H.M. overused *and* but no other means of conjoining propositions.

### 6 5.3. Subsidiary Results: Troubles Accompanying H.M.'s Propositional Conjunctions

#### 7 5.3.1. Run-on Sentences: Trouble Linked With *and* But No Other Propositional Conjunction

8 Run-on sentences conjoin semantically unrelated themes or topics, a type of trouble reliably  
 9 associated with H.M.'s use of *and*. Examples are (11), where *and* conjoins two semantically unrelated  
 10 themes (*it is wrong for her to be **and** the way he's dressed*), and (12), where *and* conjoins four  
 11 unrelated themes: *pie was back here **and** coffee is in there **and** this is boiled milk **and** this is not liquid*.  
 12 There were no examples where H.M. produced run-on sentences using other ways of conjoining  
 13 propositions and the controls never produced run-on sentences (a reliable 6.0  $SD$  difference by  
 14 convention).

15 (11). H.M.: "it's wrong for her to be **and** he's dressed just as this ..." (Run-on sentence; see  
 16 the supplementary materials for H.M.'s complete utterance).

17 (12). H.M.: "Well this pie is- or the pie here was back here- **and** uh coffee is in there  
 18 because heat a solid **and** this is only boiled milk say milk there **and** this is not liquid but  
 19 only ice." (Run-on sentence)

#### 20 5.3.2. Troubles Accompanying All Propositional Conjunctions

21 H.M.'s use of *and* shared three types of trouble with other propositional conjunctions:  
 22 ungrammatical uses, inaccurate references, and non-sequiturs. However, propositional conjunctions of  
 23 the controls exhibited none of these troubles (reliable 6.0  $SD$  differences by convention).

##### 24 5.3.2.1. Ungrammatical Uses

25 Both omission- and commission-type misuses of *and* rendered H.M.'s sentences ungrammatical. In  
 26 omission-type misuses such as (13) and (14), H.M. omitted one of the two or more entities that *and*  
 27 must conjoin, thereby violating the TLC instruction to produce grammatical sentences.

28 (13). H.M.: "**And** he has to use his legs to climb." (incomplete sentence)

29 (14). H.M.: "**And** that man is trying to tell that woman not to sit there because it's wet  
 30 paint." (incomplete sentence)

31 Commission-type misuses of *and* rendered sentences ungrammatical by violating the "same-syntax  
 32 rule" or "coordinative structure constraint." Under the same-syntax rule, coordinating conjunctions  
 33 must conjoin units in the same lexical or syntactic category, e.g., two main verbs, as in *I have seen **and***  
 34 *heard Wagner's Tannhauser*; two noun phrases, as in *I went to the symphony **and** the opera*; or two  
 35 propositions, as in *I want that **and** it's available* (see e.g., [64]). H.M. often violated this same-syntax

1 rule by using *and* to conjoin different lexical or syntactic categories, thereby rendering his sentences  
 2 ungrammatical, incoherent, and difficult to understand. For example, under one interpretation, H.M.'s  
 3 *and* in (15) conjoins a verb phrase (*traveled on that bus*) with a proposition (*have it drive it off*), a  
 4 violation of the same-syntax rule.

5 (15). H.M.: "Melanie tra...on that bus, the scrawny bus **and** have it drive it off...it, it  
 6 drives it off." (uncorrected misuse of *and*)

7 H.M. also produced ungrammatical sentences using other means of conjoining propositions, as in  
 8 (16abcd), where he misused the subordinating conjunctions *because* and *if* without correction, and in  
 9 (16e), where he produced the uncorrected error *some her* when conjoining a proposition with a  
 10 complement.

11 (16a). H.M.: "**Because** it's too hard to do it that way." (Uncorrected misuse of  
 12 subordinating conjunction in bold: incomplete sentence)

13 (16b). H.M.: "**Because** it's wrong for her to be and he's dressed just as this that he's  
 14 dressed" (Uncorrected misuse of subordinating conjunction in bold: incomplete sentence)

15 (16c). H.M.: "And that man is trying to tell that woman not to sit there **because** it's wet  
 16 paint." (Uncorrected misuse of subordinating conjunction in bold: *because it's wet paint*  
 17 substituted for *because the paint is wet*)

18 (16d). H.M.: "**If** they don't use legs like he does...and his hands, they could fall."  
 19 (Uncorrected misuses in a subordinating conjunction in bold: omission of *their* in *their*  
 20 *legs*, substitution of *his hands* for *their hands*)

21 (16e). H.M.: "I like some her...what she had." (Uncorrected error *some her*, plus omission  
 22 of *of* in the complement of *what she had* was)

### 23 5.3.2.2. Inaccurate References

24 H.M.'s uses of *and* often falsely characterized a TLC picture, as in (17), where "**and** the same way  
 25 as her" inaccurately describes a male and female customer in a clothing store as similarly dressed  
 26 (whereas the male customer and male clerk are similarly dressed). To accurately describe the picture,  
 27 H.M. should have said something like *and he's dressed the same way as this man is*. Likewise in (18),  
 28 H.M.'s "**and** he is just waiting to get waited on" inaccurately describes a man being waited on in a  
 29 cafeteria: To accurately describe the picture, H.M. should have said something like *and he is just*  
 30 *getting waited on*.

31 (17). H.M.: "Because it's wrong for her to be **and** he's dressed just as this that he's dressed  
 32 **and** the same way- as her." (Inaccurate reference)

33 (18). H.M.: "He is getting some of this **and** it isn't pointed out here what it is **and** he is just  
 34 waiting to get waited on." (Inaccurate reference)

35 H.M. also produced inaccuracies using other propositional conjunctions, as in (19), where the  
 36 subordinating conjunction *because* inaccurately describes the TLC picture because people are neither  
 37 right nor wrong to be, contrary to the implication of H.M.'s "**because** it's wrong for her to be."



1 (19). H.M.: “**Because** it’s wrong for her to be.” (Inaccurate reference)

### 2 5.3.2.3. Non-sequiturs

3 H.M.’s use of *and* often yielded non-sequiturs or logical contradictions, as in (20), where “**and** to  
4 see what he’s using to pull himself up besides his hands” logically contradicts H.M.’s preceding  
5 proposition: “David wanted him to fall.” If the climber in the picture fell, David would be unable to  
6 see how he was pulling himself up.

7 (20). H.M.: “David wanted him to fall **and** to see what he’s using to pull himself up  
8 besides his hands.” (Non-sequitur involving use of *and*; For expository reasons, we have  
9 corrected several irrelevant errors in (20): see the supplementary materials for H.M.’s  
10 uncorrected utterance).

11 H.M.’s subordinating conjunctions also yielded non-sequiturs, as in (21), where “**because** heat a  
12 solid” is logically unrelated to H.M.’s sentence topic, the location of coffee and pie in the picture.

13 (21). H.M.: “Well this pie is- or the pie here was back here- and uh coffee is in there  
14 **because** heat a solid” (non-sequitur associated with *because*)

## 15 5.4. Discussion

16 Why was *and* the only way of conjoining propositions that H.M. overused relative to the controls?  
17 And why did H.M. overuse *and* even though other ways of conjoining propositions were less prone to  
18 “trouble” (run-on sentences)? To address these and other questions raised by the present results, we  
19 developed the compensation hypothesis discussed next.

### 20 5.4.1. The Compensation Hypothesis

21 Under the compensation hypothesis, H.M. has difficulty forming coherent plans for producing  
22 novel (never-previously encoded) phrases and sentences, and to compensate for this difficulty on the  
23 TLC, H.M. generated familiar (previously encoded in immediate or long term memory) propositions  
24 via free association and used *and* to conjoin them into sentences. This proposition-level free  
25 association + *and* strategy complied with the TLC instruction to produce a single grammatical sentence  
26 (because propositions conjoined via *and* are grammatical under the same-syntax rule), but caused a  
27 “troublesome” side effect shared by no other way of conjoining propositions: run-on sentences  
28 consisting of unrelated propositions. Nonetheless, ungrammatical sentences, inaccurate references,  
29 non-sequiturs, and uncorrected misuses also accompanied these other ways of conjoining propositions  
30 because of H.M.’s difficulty in creating never-previously encoded sentences that are coherent and  
31 accurate.

32 This compensation hypothesis raises five basic questions: Does H.M. have difficulty forming  
33 coherent plans for producing novel sentences? Does H.M. produce reliably more free associations than  
34 memory-normal controls when creating novel sentences? Do H.M.’s uses of *and* on the TLC fit the  
35 standard definition of free association? And how did H.M.’s free association + *and* strategy benefit his

1 TLC performance under the compensation hypothesis? As discussed next, evidence bearing on these  
2 and other questions indicates that the compensation hypothesis is sufficiently plausible to warrant  
3 further test.

#### 4 5.4.2. Does H.M. Have Difficulty Forming Coherent Plans for Novel Phrases and Sentences?

5 Forming coherent plans for producing novel phrases and sentences has been problematic for H.M.  
6 in a wide range of tasks; see e.g., [3,5,8–10,65,66], and [2] (as analyzed in [6]).

#### 7 5.4.3. H.M.’s Propositional Conjunctions: Free Association as Classically Defined?

8 As classically defined (see [67]), words produced via free association are unrelated or inappropriate  
9 to the current situational or conceptual context but strongly related to thoughts, events, or concepts  
10 with preformed associations in memory. Consistent with this classical definition, H.M. often used *and*  
11 to conjoin concepts with preformed associations in memory but no obvious relation to the current  
12 conceptual or situational context, here, the instruction to use the target words to accurately describe a  
13 TLC picture. For example, preformed associations in semantic memory between *waiting* and *waited* in  
14 (18) almost certainly triggered H.M.’s inaccurate claim that the man *is waiting to get waited on* rather  
15 than *is being waited on*, as clearly indicated in the TLC picture. Similarly, preformed associations in  
16 memory between the concepts *heat*, *solids*, and *liquids* in (12) almost certainly triggered H.M.’s  
17 irrelevant non-sequitur “because heat a solid” in “coffee is in there because heat a solid **and**...”

18 Also consistent with the classical definition of free association, irrelevant (or imagined) aspects of  
19 the pictures often triggered *and*-linked thoughts unrelated to the TLC goals, as in (11), where H.M.  
20 said “it is wrong for her to be **and** the way he’s dressed”, and in (12), where H.M. said “pie was back  
21 here **and** coffee is in there **and** this is boiled milk **and** this is not liquid”.

#### 22 5.4.4. How did H.M. Benefit from his Free Association + *and* Strategy?

23 Under the compensation hypothesis, H.M. used *and* to conjoin two or more propositions retrieved  
24 via free association, thereby compensating for his difficulties in constructing coherent sentence-level  
25 plans. This proposition-level free association + *and* strategy obviated the need to construct an overall  
26 sentence plan because any two propositions conjoined via *and* yield a sentence that satisfies the same-  
27 syntax rule and the TLC instruction to produce a single grammatical sentence. For example, H.M.’s  
28 conjoined propositions in “pie was back here **and** coffee is in there **and** this is boiled milk **and** this is  
29 not liquid” yield a single sentence that is grammatical but incoherent and run-on.

#### 30 5.4.5. Why Did H.M. Prefer to Conjoin Propositions via *and*?

31 Using *and* to conjoin propositions involves simpler, more general, and less constrained processes  
32 than other ways of conjoining propositions. Only one relation between the conjoined units (the same-  
33 syntax concatenation rule) must be computed when using *and*, whereas two additional and more  
34 complex relations must be computed when using the subordinating conjunctions *although*, *after*, and  
35 *because*: *Although* requires computation of concatenation, subordination, and contrary relations; *after*  
36 requires computation of concatenation, subordination, and temporal relations; and *because* requires

1 computation of concatenation, subordination, and causal relations. To compensate for his deficits in  
2 forming grammatical plans for novel sentences, H.M. therefore preferred *and* as the easiest way to  
3 conjoin propositions retrieved via free association under the compensation hypothesis.

#### 4 5.4.6. Why Was H.M.'s *and* More "Troublesome" Than Other Propositional Conjunctions?

5 H.M.'s added trouble with *and* only showed up as run-on sentences, not other types of misuses, and  
6 directly reflected his free association + *and* strategy under the compensation hypothesis. However, the  
7 remaining "troubles" associated with *any* way of conjoining propositions (ungrammatical sentences,  
8 inaccurate references, non-sequiturs, and uncorrected misuses) reflect a more general cause under the  
9 compensation hypothesis: H.M.'s inability to form coherent plans or internal representations for novel  
10 phrases and propositions.

### 11 6. Summary, Conclusions and Caveats

12 Use frequency analyses in Study 1 provided our first major result: that H.M. could retrieve (at least)  
13 21 lexical categories and one syntactic category (noun phrases) with no lower relative frequency than  
14 matched memory-normal controls on the TLC. This finding contrasts with the underuse of specific  
15 lexical and syntactic categories that characterizes category-specific aphasia, and suggests that H.M.'s  
16 category-specific mechanisms for retrieving words in phrases and phrases in sentences are intact.

17 Also consistent with intact brain mechanisms for retrieving already encoded phrases, H.M. has  
18 produced many familiar phrases without errors in conversational speech since his lesion. Examples are  
19 the six multi-word noun phrases in H.M.'s brief paragraph in (1): "a lay teacher," "the kids," "the  
20 nuns," "the grade", "the next grade," "young kids," and "in a way." H.M. almost certainly encoded all  
21 six phrases prior to his lesion, and his error-free use of familiar phrases was probably one of the  
22 reasons why researchers interacting informally with H.M. since his lesion (mistakenly) assumed that  
23 his language skills were completely intact (see [3,6] for additional reasons).

24 The simplest explanation of Study 1 use-frequency results is that (a) frontal areas contain the  
25 activating-mechanisms for retrieving already-encoded words, phrases, and propositions, and (b)  
26 retrieval mechanisms in H.M.'s frontal cortex are intact. Amnesics with compound frontal and  
27 hippocampal damage such as Clive Wearing reinforce and extend this account. Consistent with their  
28 hippocampal damage, Clive and H.M. cannot form new episodic memories (except via massive  
29 repetition; see [54]). However, using his intact frontal cortex, H.M. can retrieve episodic memories  
30 encoded before his lesion, whereas due to his frontal damage, Clive cannot ([68], pp. 187-213).

31 Studies 1 and 2 provided our second major result: H.M.'s reliable overuse of proper names in  
32 speech and writing relative to memory-normal controls. In a follow-up study, MacKay et al. [54]  
33 examined H.M.'s use of proper names in detail and using the compensation hypothesis developed in  
34 Study 3, concluded that H.M. overused proper names to compensate for his inability to encode  
35 structures with the same function as proper names.

36 The compensation hypothesis in Study 3 was developed to explain H.M.'s reliable overuse of the  
37 coordinating conjunction *and* relative to memory-normal controls in Study 1. Under this hypothesis,  
38 H.M. overused *and* for three reasons: (a) to compensate for his inability to construct sentence-level  
39 plans that were novel, accurate, and grammatical [3,5,6,9–11,66,69]; (b) to conjoin familiar

1 propositions into multi-proposition sentences; and (c) to satisfy the instruction to describe TLC  
2 pictures using a single grammatical sentence.

3 All three factors together contributed to a proposition-level strategy that fit the classical definition  
4 of free association but was more complex than word-level free associations observed to date (see [67]).  
5 Using this proposition-level free association strategy, H.M. retrieved familiar propositions via free  
6 association and conjoined them via *and*, the least constrained way of conjoining one or more  
7 propositions to form a grammatical sentence. This strategy obviated the need to form a novel sentence  
8 plan and satisfied the TLC instruction to produce a single grammatical sentence, but carried a negative  
9 consequence seen with none of the other propositional conjunctions that H.M. used: run-on sentences  
10 (see also the negative consequences of H.M.'s proper name compensation strategy in MacKay et al.  
11 [54]).

12 Several caveats are in order regarding the present results and conclusions. One is that H.M.'s  
13 normal use-frequency profile for noun phrases and (at least) 18 lexical categories in Study 1 does not  
14 imply that his language skills are "relatively intact" or "unimpaired": H.M.'s ungrammatical uses,  
15 inaccurate references, run-on sentences, and non-sequiturs in Study 3 indicate that his mechanisms for  
16 *encoding* new phrases, propositions, and sentences are impaired (see also [54]).

17 Another caveat is that the compensation hypothesis described the observations in Study 3, but did  
18 not predict them. New observations are needed to test the compensation hypothesis (a process  
19 undertaken in [54]).

20 As a final caveat, the present use frequency results are specific to H.M. rather than to amnesia in  
21 general: Amnesics with different types of brain damage can be expected to compensate in different  
22 ways (for additional caveats, see [54]). For example, it is unsurprising that the amnesic patients in  
23 Almor, Kempler, MacDonald, Andersen and Tyler [60] used reliably more pronouns than memory-  
24 normal controls, whereas H.M. used pronouns with the same relative frequency as memory-normal  
25 controls in Studies 1-2. The Almor et al. amnesics were compensating for diffuse cortical damage  
26 linked to Alzheimer's Disease, whereas H.M. had virtually no cortical damage and was compensating  
27 for hippocampal region damage. Additional case studies therefore seem warranted to explore the  
28 parameters and range of category-specific compensation in amnesics with different types of brain  
29 damage. As Ramachandran ([70], p. xi) notes, careful study of single cases has in the past proved  
30 instrumental in discovering most, and perhaps all, of the syndromes in neurology.

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### 36 **Conflict of Interest**

37 The authors declare no conflict of interest.

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Supplementary Materials for MacKay, Johnson, Fazel, and James (under review). Compensating  
for Language Deficits in Amnesia I: H.M.'s Spared Retrieval Categories

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## SUPPLEMENTARY MATERIALS FOR MACKAY ET AL.

Supplementary Materials for MacKay, Johnson, Fazel, and James (under review).

Compensating for Language Deficits in Amnesia I: H.M.'s Spared Retrieval Categories

These supplemental materials contain (a) a justification for the statistical conventions adopted in MacKay, Johnson, Fazel, Hadley & James (under review), and (b) the database analyzed in MacKay (under review).

**1. Justification of the Statistical Conventions in MacKay et al. (under review)***1.1. The 4.0 Absolute Difference Convention*

Meaningful statistical comparisons by convention require differences in absolute scores between a patient and the mean for the control group must equal or exceed 4.0. This convention is derived by analogy from the sign test, where differences must equal or exceed 0 vs. 4 for statistical analysis (see, e.g., Siegel, 1956).

*1.2. The 0.0 Standard Deviation (SD) Convention*

When the *SD* for a control group is 0.0, the difference in absolute scores for a patient minus the mean for the control group is 6.0 *SDs* by standard convention. The reason for this convention is that any numerator divided by 0.0 is  $\infty$ , yielding an impossibly large estimate for differences of any size. However, 6.0 *SDs* provides a statistically conservative estimate of absolute score differences in the numerator that equal or exceed 4 versus 0 because smaller numerator differences with non-zero *SDs* yield difference estimates larger than 6.0 *SDs*. For example, Study 1 reports a difference of 7 proper nouns used by a patient versus a mean of 0.0 for a control group ( $N = 8$ ;  $SD = 0.0$ ), a 6.0 *SD* difference by convention. However, note that this 6.0 *SD* difference would have increased to 19.4 *SDs* with a weaker hypothetical result, namely that a single control participant produces a single proper noun, yielding  $SD = 0.354$  rather than

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0.0 for the controls and a difference of 6.875 rather than 7.0 between the patient and the mean for the controls.

### 1.3. The 2.0 SDs Significance Convention

Differences in scores for a patient versus the mean for a matched control group in excess of 2.0 SDs are considered reliable. This convention is statistically conservative because 95% of the values in a normal distribution fall within 2 SDs of the mean, yielding  $p = .025$  for a one-tailed t-test.

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## 2. The Database in MacKay et al. (under review)

This database was a transcript of all within-trial responses of H.M. and the experimenter on the modified version of the Test of Language Competence (TLC) adapted from Wiig & Secord (1988) and administered in MacKay, James & Hadley (2008). Also included are H.M.'s responses to the practice stimulus and a model (complete and error-free) description of each TLC word-picture stimulus. MacKay et al. describe their transcription procedures and protocol in detail, their criteria for defining a "response", and the rationale underlying the stimulus labels shown here, e.g., Set 1 Picture 2. The label "inaudible" indicates that two or more of the three transcribers in MacKay et al. were less than 100% sure of their transcription after repeated replay. Also shown in italics for each TLC stimulus is the subset of HM's responses that made up the smaller MacKay et al. database.

Practice Example
Set 1 Picture 1: Target Words – <i>sad, moving</i> .
Model Description: These two people looking at the van are sad to be moving away.
H.M.: Well...moving.
Exp.: Mmm hmm. And what are the objects in the picture?
H.M.: He's taking a trunk into the tru...to the truck.
Exp.: Mmm hmm. What else is in the picture?

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<p>H.M.: And people are just moving. She doesn't got any shoes on either.</p> <p>Exp.: Mmm hmm. Okay.</p> <p>H.M.: And, probably next door neighbors.</p> <p>Exp.: Okay. Okay, so I want you to make a sentence up about this picture using these two words.</p> <p>H.M.: Well...moving away and these people are prob...must be saddened.</p> <p>Exp.: Okay. So can you make a sentence of...?</p> <p>H.M.: Mary's sad to see somebody move away.</p>
Two-word Experimental Stimuli
<p>Set 1 Picture 2: Target Words – <i>fall, leg</i>.</p> <p>Model Description: This man is telling him not to fall and break his leg.</p>
<p>H.M.: Seeing how somebody's climbing that mountain, they are discussing it themselves cause (inaudible) stuff he should take.</p> <p>Exp.: Mmm hmm. So just try to make up a sentence using these two words.</p> <p>H.M.: David wanted him to fall and to see what lady's using to pull himself up besides his hands.</p> <p>Exp.: So can you make one sentence up? Using both words.</p> <p>H.M.: Well I see that Dave did (inaudible) past and he's going up fast.</p> <p>Exp.: So, you just need to make up a sentence using these two words. So make up a sentence using the two words.</p> <p>H.M.: Um well he's got a pack and so does each one of those.</p> <p>Exp.: Yeah, I see that. But again you just need to use these two words to make a sentence up.</p> <p>H.M.: Just to see how he's legs, see...how he's using his legs to bo...climb.</p> <p>Exp.: I know, but you're ignoring my question aren't you?</p> <p>H.M.: Well both of them (inaudible)</p> <p>Exp.: I know but I just want you to say a sentence using these words.</p> <p>H.M.: Well...how they have to fall, uh climb, easing up...(inaudible)</p> <p>Exp.: So what are the two words?</p> <p>H.M.: Fall and leg.</p> <p>Exp.: So can you make up a sentence about this picture?</p>

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<p>H.M.: Jay had to use (inaudible) climb too.</p> <p>Exp.: So can you use both these words to make the sentence?</p> <p>H.M.: And if they don't (inaudible) the legs like he does, then they will fall.</p> <p>Exp.: Okay, so say that again.</p> <p>H.M.: <i>If they don't use legs like he does...and his hands, they could fall.</i></p>
<p style="text-align: center;">Set 1 Picture 4: Target Words – <i>some, and.</i></p> <p>Model Description: The man is telling her that he would like some cake and ice cream.</p>
<p>H.M.: (inaudible)</p> <p>Exp.: So say that again?</p> <p>H.M.: <i>I like some her...what she had.</i></p>
<p style="text-align: center;">Set 1 Picture 6: Target Words – <i>but, job.</i></p> <p>Model Description: She is saying that they are doing a good job but it's not done.</p>
<p>H.M.: I... she wants the house painted the same as him and he wants to mow the lawn.</p> <p>Exp.: But use the two words to make the sentence.</p> <p>H.M.: <i>I want that job... and...but she says, he gotta do the other part first.</i></p>
<p style="text-align: center;">Set 1 Picture 8: Target Words – <i>because, hard.</i></p> <p>Model Description: He is telling him not to try that because it's hard.</p>
<p>H.M.: Yes, I...I (inaudible).</p> <p>Exp.: What are the two words you want to use?</p> <p>H.M.: I want to exercise like these two are.</p> <p>Exp.: I know but you need to use the two words on the top.</p> <p>H.M.: And that's really hard, (inaudible).</p> <p>Exp.: Yep. So what are the two words you need to use?</p> <p>H.M.: Because it's too har...because it's too hard to do it.</p> <p>Exp.: So make one sentence.</p> <p>H.M.: He's pointing out different ways.</p> <p>Exp.: So try to make a sentence using the top two words.</p> <p>H.M.: I don't want to do it the same way as he do because you can't do it that way.</p> <p>Exp.: Okay. So what are the two words you need to use?</p> <p>H.M.: Um because it's too...these two are doing different...</p> <p>Exp.: I know but you need to use the two words at the top of the page to make one</p>

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<p>sentence.</p> <p>H.M.: Yeah, I see (?).</p> <p>Exp.: Yeah. So you didn't use both words.</p> <p>H.M.: <i>Because it's too hard to do it that way.</i></p> <p>Exp.: Okay.</p> <p>H.M.: (inaudible)</p>
<p style="text-align: center;">Set 1 Picture 10: Target Words – <i>first, across.</i></p> <p>Model Description: The man is telling the boy to wait first before going across the street.</p>
<p>H.M.: <i>He wants to cross here ...first.</i></p>
<p style="text-align: center;">Set 2 Picture 3: Target Words – <i>sit, painted.</i></p> <p>Model Description: He is telling her not to sit there because it's just been painted.</p>
<p>H.M.: <i>And that man is trying to tell that woman not to sit there because it's wet paint.</i></p> <p>Exp.: Good.</p> <p>H.M.: <i>He can uh see the sign better than she could and she's ready to sit down there.</i></p>
<p style="text-align: center;">Set 2 Picture 5: Target Words – <i>pie, either.</i></p> <p>Model Description: He is telling her that he wants either pie or cake.</p>
<p>H.M.: Since they've got their coffee already he isn't- they just want their uh pie and the piece of this pie up here because the cake is down here.</p> <p>Exp.: OK, you didn't use this one. What's this word?</p> <p>H.M.: Pie.</p> <p>Exp.: No, this one over here.</p> <p>H.M.: Either.</p> <p>Exp.: So how would you use that one in the same sentence with pie to describe what is going on there?</p> <p>H.M.: He hadn't got any milk there or put it in his cup.</p> <p>Exp.: Do you know what the word either means?</p> <p>H.M.: Or.</p> <p>Exp.: OK. (pause) Can you think of one sentence using both of those two words?</p> <p>H.M.: <i>Well this pie is- or the pie here was back here-</i></p> <p>Exp.: Uh-huh.</p> <p>H.M.: <i>and uh coffee is in there because heat a solid and this is only boiled milk say milk</i></p>

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<i>there and this is not liquid but only ice.</i>
Set 2 Picture 7: Target Words – <i>crowded, drive</i> . Model Description: She is telling him that the school bus is so crowded, they should drive.
H.M.: A driving wanna drive some place and this bus is stopped up there. Exp.: What is this word. H.M.: <i>Is it crowded and it just pointed out this bus is up here and it's crowded school bus.</i>
Set 2 Picture 9: Target Words – <i>although, wrong</i> . Model Description: She is saying he should take that suit although it looks wrong on him.
H.M.: Well she's choosing the soup here- Exp.: Um-hum. H.M.: for him. Exp.: OK. What about the words although and wrong? Can you use those words? H.M.: Yes. <i>Because it's wrong for her to be and he's dressed just as this that he's dressed and the same way-</i> Exp.: OK, good. H.M.: <i>as her.</i>
Set 2 Picture 11: Target Words – <i>fresh, nor</i> . Model Description: She is telling her that the bread looks neither fresh nor healthy.
H.M.: <i>Well you- she wants one thing and he wants another thing and the fresh are not- are not. Doesn't say that, it says not.</i> Exp.: It says nor. H.M.: She doesn't want her pie. Exp.: It says nor. Do you know the word nor? H.M.: Yeah. Or she could say this. This is in (inaudible) over here and this is just little things (inaudible) a little spice you could call eclairs and stuff like that it's over here.
Three-word Experimental Stimuli
Set 1 Picture 3: Target Words – <i>sit, painted, because</i> . Model Description: He is telling her not to sit on that bench because it was just painted.
H.M.: <i>Oh, don't sit because it's just been painted.</i>
Set 1 Picture 5 Target Words – <i>pie, either, have</i> . Model Description: The man is saying he'll have either the pie or the cake.

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H.M.: I want some of that pie either some pie and I'll have some.

Exp.: So say that again.

H.M.: I'll have some of that pie and that she's having.

Exp.: So you, but you need to use these three words.

H.M.: Well pie, either, and.

Exp.: So put those into one sentence.

H.M.: I'll have pie with pie or (inaudible) hers and (inaudible). Cause there's a cake down here.

Exp.: Yeah. So you still haven't used all those words in one sentence.

H.M.: There's one kind of pie and there's another kind of pie.

Exp.: Okay. So can you put them into a sentence.

H.M.: And he wants the same par...kind that she does.

Exp.: But you're not using these three words.

H.M.: Well, they both have to use pie.

Exp.: I know, but you have to use the other two words as well.

H.M.: Any pie to either have.

Exp.: What was that?

H.M.: *Any pie that either she either had.*

Set 1 Picture 7: Target Words – *crowded, drive, if.*

Model Description: The woman is saying that he can drive that crowded bus if he wants to.

H.M.: *Melanie tra...on that bus, the scrawny bus and have it drive it off...it, it drives it off.*

Exp.: So say that again.

H.M.: Melanie gets on that one if she can and she wants her to travel along with him.

Exp.: Okay. So try to use the three words at the top to make one sentence.

H.M.: Well he has to go the same way as her if (inaudible)...she wants to go on the bus...and it's crowded...it's crowded.

Exp.: Okay.

H.M.: Too crowded to get on the bus.

Exp.: Okay.

H.M.: (inaudible)...one way out, it's on common street.



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<p>Set 1 Picture 9: Target Words – <i>actually, although, wrong</i>.</p> <p>Model Description: She is saying she actually likes that suit although it is wrong for him.</p>
<p>H.M.: He had this (inaudible) ...no, she's taking that suit and he wants to take it...and he's trying to sell.</p> <p>Exp.: So how can you use the top three words to make a sentence?</p> <p>H.M.: Actually...he's in this (inaudible) pointing (inaudible) dresses over here...he wants...he wants this kind of color too. And she wants something similar to that.</p> <p>Exp.: Okay. So make a sentence using the top three wor...top words.</p> <p>H.M.: <i>Actually it's best for him. It's wrong for her. They have 'em the same way.</i></p>
<p>Set 1 Picture 11: Target Words – <i>fresh, nor, here</i>.</p> <p>Model Description: The pie here looks neither fresh nor good.</p>
<p>H.M.: Once has to be trash in yellow (inaudible)...is not here.</p> <p>Exp.: So can you say that again?</p> <p>H.M.: So, this is (inaudible) <i>Gary is...almos..almost...hasn't been cut the same way. And his (inaudible) just what they are there.</i></p> <p>Exp.: Okay. So can you make a sentence up?</p> <p>H.M.: (inaudible)...here.</p>
<p>Set 2 Picture 2: Target Words – <i>fall, leg, and</i>.</p> <p>Model Description: This man is telling him not to fall and break his leg.</p>
<p>H.M.: Fall, leg, T and uh, and.</p> <p>Exp.: OK, good.</p> <p>H.M.: I should say fall, leg, and.</p> <p>Exp.: There ya go. So, now can you make up a sentence that has the words fall, leg, and and in it that describes what is going on in this picture?</p> <p>H.M.: He's climbing that and he can fall.</p> <p>Exp.: OK, so that has two of the words. You've used and and fall. You said, he's climbing that and he can fall.</p> <p>H.M.: <i>And he has to use his legs to call-climb.</i></p>
<p>Set 2 Picture 4: Target Words – <i>some, and, get</i>.</p> <p>Model Description: He is telling her he wants to get some cake and pie.</p>
<p>H.M.: Well he's putting the price of it and price of thing what it is and she wants to</p>

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<p>(inaudible) in there and he's waitin' to be waited on.  Exp.: OK, but you didn't use these three words here.  H.M.: <i>He is getting some of this and it isn't pointed out here what it is and he is just waiting to get waited on.</i></p>
<p>Set 2 Picture 6: Target Words – <i>job, but, easy</i>.  Model Description: She is saying it won't be easy but they need to do a good job.</p>
<p>H.M.: Job, but, easy.  Exp.: OK, so can you think of a sentence that uses all three of those words that describes that picture?  H.M.: <i>It is easy to paint the place even though it's been just a job and easy on the job part.</i></p>
<p>Set 2 Picture 8: Target Words – <i>because, hard, like</i>.  Model Description: He is saying he doesn't like to do that because it is so hard.</p>
<p>H.M.: <i>'Cause he's doin' that and this one liked to do it this way to sit down.</i>  Exp.: Um-hum.  H.M.: <i>And this could be hard here and soft here.</i>  Exp.: Good. So the floor is hard and the trampoline's soft huh?  H.M.: Yeah.</p>
<p>Set 2 Picture 10: Target Words - <i>before, first, across</i>.  Model Description: The father is telling his son to look first before going across the street.</p>
<p>H.M.: Before at first you cross across.  Exp.: OK, good. How would you use those three words to describe that picture?  H.M.: <i>Before you cross the street you have to look both ways first.</i></p>

## SUPPLEMENTARY MATERIALS FOR MACKAY ET AL.

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