Article

Compensating for Language Deficits in Amnesia I: H.M.’s Spared Retrieval Categories

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Abstract: Three studies examined amnesic H.M.’s use of words, phrases, and propositions on the Test of Language Competence (TLC). In Study 1, H.M. used 19 lexical categories (e.g., common nouns, verbs) and one syntactic category (noun phrases) with the same relative frequency as memory-normal controls, he used no lexical or syntactic category with less-than-normal frequency, and he used proper names (e.g., Melanie) and coordinative conjunctions (e.g., and) with reliably greater-than-normal frequency. In Study 2, H.M. overused proper names relative to controls when answering episodic memory questions about childhood experiences in speech and writing, replicating and extending Study 1 results for proper names. Based on detailed analyses of the use (and misuse) of coordinating conjunctions on the TLC, Study 3 developed a syntax-level “compensation hypothesis” for explaining why H.M. overused coordinating conjunctions relative to controls in Study 1. Present results suggested that (a) frontal mechanisms for retrieving word-, phrase-, and propositional-categories are intact in H.M., unlike in category-specific aphasia, (b) using his intact retrieval mechanisms, H.M. has developed a never-previously-observed proposition-level free association strategy to compensate for the hippocampal region damage that has impaired his mechanisms for encoding novel linguistic structures, and (c) H.M.’s overuse of proper names warrants further research.

Keywords: amnesic H.M.; category-specific lexical retrieval; sentence production; propositional conjunction; compensation strategies in amnesia; syntax-based free association; hippocampus; medial temporal lobe
1. Introduction

For many years, researchers assumed that the speech production of amnesic H.M. was intact (see e.g., [1]). However, closer inspection in recent studies indicated abnormalities in how H.M. responded to conversational questions. An example is (1), an excerpt from a conversation with Marslen-Wilson [2] about a lay teacher who took over one of H.M.’s classes in a Catholic grade school:

(1). H.M.: (in response to the question Why did the lay teacher take over the class?) “Uh … so that they took … well .. she … I say took over, and what I mean it as … that, as the kids progressed then they were able to … uh … they’d gone to a lay teacher …. and they’d seen the nuns around, so when they moved to the grade, next grade, they would …. they would naturally … uh … more eased…. with being with the .. uh .. nuns than being scared ….. they were going in there as young kids, they’d be scared, right off in a way ..... but they see them around and understand them more”

H.M.’s response in (1) seems to suggest that the lay teacher took over his class because the pupils would be less fearful with a lay teacher rather than a nun leading the class. However, seeing nuns around, the pupils would become accustomed to them, so that rather than remaining scared, they would feel more at ease when a nun led their next class.

If this response description is accurate, numerous uncorrected errors in (1) obscured H.M.’s intended meaning. For example, in “they would naturally … uh … more eased…. with being with the .. uh .. nuns than being scared”, H.M. omitted the verb (be or feel in would naturally feel more eased), he omitted a coordinating conjunction (presumably rather in rather than being scared), and he substituted a neologism “more eased” for more at ease or more accustomed. Then, in “what I mean it as that….”, H.M. substituted first “it” and then “as” for is, phonological errors that distorted his intended output: what I mean is that.

Three aspects of H.M.’s uncorrected errors in (1) are noteworthy. First, such errors are representative rather than exceptional aspects of H.M.’s speech: In well controlled experiments, H.M. has produced: (a) reliably more uncorrected word and phrase omissions than memory-normal controls, as in examples (2)-(4) from MacKay, James, Hadley, and Fogler [3]; (b) reliably more sequencing errors (transpositions, anticipations, and preservations of words and phrases) than memory-normal controls [3], and (c) reliably more neologisms in word reading [4], naming objects on the Boston Naming Test [5] and other tasks [6].

(2). H.M.: “He's talking on the to somebody” (omission of the word phone in: He's talking on the phone to somebody).

(3). H.M.: “There must be a street in between. Because he's in his office” (omission of the phrase those buildings and his building in: There must be a street in between those buildings and his building because he's in his office.)
(4). H.M.: “And you can't tell exactly what it is she's saying about him. Picture or what”

(omission of whether because of the in: And you can't tell exactly what it is she's saying about him, whether because of the picture or what).

Second, category-specific aphasics sometimes produce errors that resemble H.M.’s, an observation that raises two important questions: Do H.M.’s language production deficits relative to controls in Corkin [7], MacKay, Burke et al. [6], MacKay and James [4,8], MacKay, James, and Hadley [9], MacKay et al. [3], MacKay, James, Taylor, and Marian [10], and MacKay, Stewart, and Burke [11] reflect a type of agrammatism? And does the possible or incipient left hemisphere damage suggested in Corkin, Amaral, González, Johnson, and Hyman [12] explain H.M.’s language deficits more parsimoniously than his hippocampal region damage?

Third, because the field has recognized the theoretical significance of speech errors since Lashley [13], why did the many researchers interacting informally with H.M. since then overlook his aphasia-like errors and assume that his language skills were “normal” or even “erudite” (see [14])?

Because these aphasia-linked questions provided the initial impetus for the present research, we first describe the nature of category-specific aphasia and its implications for the retrieval mechanisms underlying normal everyday word, phrase, and sentence production.

1.1. Category-specific Aphasia: Implications for Word Retrieval Mechanisms

Pure and compound category-specific aphasia suggest that the mechanisms underlying word retrieval are category-specific. Agrammatic patients with “pure” category-specific aphasia consistently omit or fail to produce words in some lexical categories but not others, although the spared versus impaired lexical categories vary from patient to patient, with some patients producing, e.g., nouns but not verbs, and others producing verbs but not nouns (see [15–33]).

Examples (5ab) and (6abc) illustrate pure category-specific aphasia via transcribed excerpts from three famous aphasics who we will simply label X, Y, and Z. Example (5a) is aphasic X’s ungrammatical description of the well-known cookie theft picture in Goodglass and Kaplan ([34], p. 76), and for comparison, (5b) is a model or error-free description of the same picture. Note that aphasic X produced a main verb (fall over) and several nouns (jar, chair, water), but failed to produce other lexical categories seen in (5b), e.g., pronouns (she, her), determiners (a, the), auxiliary verbs (is in is trying and is standing), and prepositions (in, onto).

(5a). Broca’s Aphasic X ([34], p. 76): “Cookie jar…fall over…chair…water…empty…ov…ov… (Expt.: “overflow?”). Yeah”.

(5b). Model cookie theft description: A woman is in her kitchen doing dishes. She does not notice the boy and girl behind her nor the water flowing out of the sink onto the floor in front of her. The boy is trying to get cookies from a jar high up on a shelf and give one to the girl. He is standing on a stool that is about to fall over.

Examples (6a) and (6b) illustrate how aphasics Y and Z retold the familiar fox and crow fable, and (6c) is a model description for comparison. Note that unlike aphasic X, aphasic Y produced determiners (the), but did not produce several other lexical categories seen in (6c): present participles
(opening, dropping) and infinitives (to trick and to steal). However, unlike aphasics X and Y, aphasic Z produced present participles (singing), but failed to produce a main verb, an essential lexical category in grammatical sentences.

(6a). Broca’s aphasic Y ([35], p. 38): Well…well…the same thing is s-smart everything, smart…and the brain, OK.

(6b). Broca’s aphasic Z ([36], p. 64): King…Singing…Singing loud…Meat. Perfect!

(6c). Model fable description: The fox uses flattery to trick the crow into opening its mouth and dropping its cheese for the fox to steal.

Of course, category-specific aphasia is seldom pure, and compound category-specific aphasics exhibit sequencing and phonological as well as lexical deficits: Besides omitting specific lexical categories, compound category-specific aphasics typically misorder words, omit and/or misorder phonological units, and produce neologisms or jargon for once familiar words (see, e.g., [37–40]).

Together, pure and compound category-specific aphasia suggest that category-specific activating mechanisms retrieve the sequence of phrases in sentences, words in phrases, and phonological units in words, and can suffer damage that differs from patient to patient (for detailed language production theories sharing this type of category-specific activating mechanism for retrieving word, phrase and phonological units, see [41], pp. 14-61; [4,6,8–10]). Thus, aphasic X produced pronouns, determiners, auxiliary verbs, and prepositions, but no nouns or verbs in (5a), suggesting selective damage involving category-specific retrieval mechanisms for activating nouns and verbs, but not pronouns, determiners, auxiliary verbs, or prepositions. By contrast, aphasic Y produced present participles and infinitives but not determiners in (6a), suggesting selective damage involving category-specific retrieval mechanisms for activating determiners, but not present participles and infinitives. Aphasic Z produced main verbs but not present participles in (6b), suggesting selective damage involving category-specific retrieval mechanisms for activating present participles, but not main verbs.

1.2. Speech Error Regularities: Further Evidence for Category-specific Retrieval

Three well-established speech error phenomena known as the lexical class, syntactic class, and phonological class regularities further support the hypothesis that category-specific mechanisms activate the sequence of phrase, word, and phonological units in normal everyday speech production. Table 1 illustrates these regularities for 10 classical types of speech errors. Under the lexical class regularity, words substituted in error virtually always belong to the same lexical class as the intended word (see e.g., [41], pp. 44-61). For example, verbs substitute in error for intended verbs and not for common nouns or determiners; prepositions substitute in error for intended prepositions and not for proper names or auxiliary verbs; and adjectives substitute in error for intended adjectives and not for conjunctions or pronouns. An example from Burke and Shafto [42] concretely illustrates this lexical class regularity: The speaker (George Bush) intended to say Take the guns out of the hands of people, but instead said, “Take the hands out of the guns of people,” where a noun later in the intended sequence (hands) substituted an earlier one (guns), and vice versa. As this typical example illustrates, the speaker twice retrieved the wrong word from the right category, leaving intact the overall sequence of lexical categories in his sentence plan.
Table 1. Classical types of everyday speech errors and sequential class regularities: Definitions and examples.

<table>
<thead>
<tr>
<th>Error Level and Type</th>
<th>Definition</th>
<th>Examples</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Types of phonological sequencing errors</strong></td>
<td></td>
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</tbody>
</table>
| Phonological transpositions, exchanges, or Spoonerisms | Two speech sounds swap positions in the same or different words in a sentence | left hemisphere → “heft lemisphere”
well made → “mell wade” |
| Phonological anticipations | An upcoming speech sound occurs earlier in a word or sentence | a reading list → “a leading list.”
paddle tennis → “taddle tennis” |
| Phonological perseverations | An earlier speech sound reoccurs later in a word or sentence | escorting → “escorking” |
| **Types of sequencing errors involving words and phrases** | | |
| Word anticipations<sup>b</sup> | An upcoming word or morpheme replaces an earlier one in a sentence | ministers in the church → “churches…”
Are you going to be in town on June 22<sup>nd</sup>? →
“Are you going to be on town…” |
| Phrase transpositions, exchanges, or Spoonerisms | Two phrases in an intended sentence swap positions | If you stick around you’ll meet him. → “If you meet him you’ll stick around.”
I have to smoke a cigarette with my coffee → “I have to smoke my coffee with a cigarette.” |
| **Types of paradigmatic (non-sequential) errors involving words and phrases** | | |
| Word additions<sup>b</sup> | An unintended word or morpheme is added in an intended sentence | is wasting away resources → “is wasting away of resources”<sup>a</sup>
I regret having to inform → “I regret for having to inform”<sup>a</sup> |
| Word substitutions | An unintended word or morpheme substitutes an intended word or morpheme | the native values → “the native vowels”
pay be check → “pay by rent” |
| Word-level omissions<sup>b</sup> | An intended word is omitted in the sentence produced | as much as a surgeon’s knife → “as much a surgeon’s knife”<sup>a</sup> |
| Word blends | Two context-appropriate words become “fused” together | hilarity /hysterics → “hilarics”<sup>a</sup>
swish /swizzle → “swishle”<sup>a</sup> |
| Phrase blends | Two context-appropriate phrases become fused together | Whoever he is / whatever his name is → “Whoever his name is.”
I’m going to mainly point out /talk about → “I’m going to mainly point about” |

Note. Intended utterances are in italics. <sup>a</sup> indicates examples from [46]; all other examples are from [43]. <sup>b</sup> indicates examples irrelevant to the lexical, syntactic, or phonological class regularity; all other examples obey these sequential class regularities.

Under the syntactic class regularity, one phrase substitutes in error for another in the same syntactic class: Noun phrases virtually always substitute in error for intended noun phrases rather than for, say,
verb phrases, and verb phrases virtually always substitute in error for intended verb phrases rather than, say, propositions or prepositional phrases. By way of example (from [43]), *We have a computer in our laboratory* misproduced as “We have **our laboratory** in a computer” obeys the syntactic class regularity because the two interchanged phrases (in bold) belong to the same syntactic class (noun phrase; see Table 1).

Under the phonological class regularity, phonological units virtually always substitute in error for intended phonological units in the same syllabic position: Syllable-initial consonants substitute with intended syllable-initial consonants rather than, say, vowels or syllable-final consonants, and syllable-final consonants substitute with intended syllable-final consonants rather than, say, vowels (see e.g., [44]). By way of example (from [13]), *dear old queen* misproduced as “**queer old dean**” obeys the phonological class regularity because both interchanged consonants (in bold) are syllable-initial (see Table 1).

The “sequential class regularity” (a concept encompassing the lexical, syntactic, and phonological class regularities, together with analogous regularities in everyday actions) represents the most general phenomenon established to date in production studies (see [41], pp. 44-61) and applies to transpositions, anticipations, perseverations, blends, and paradigmatic errors involving phrases, words, and phonological units (see Table 1 for definitions and examples).

The sequential class regularity also carries important theoretical implications. One is that direct associative links between specific phrases, words, or speech sounds cannot explain how we activate or retrieve phrases, words, and speech sounds in proper order when we do, and in improper order when we make sequencing errors (as [13] correctly noted). Another implication is that the activating mechanisms for retrieving phrases, words, and speech sounds (in proper or improper order) must be category-specific. For example, anticipation errors must occur when an intended or pre-planned phrase, word, or speech sound is less “primed” or “readied for activation” (Lashley’s original terms) than an upcoming phrase, word, or speech sound *in the same sequential category* when their shared category-specific activating mechanism is applied. As a result, intended and erroneously anticipated phrases, words, or speech sounds are constrained to belong to the same sequential category (for detailed theoretical accounts of sequential class regularities, see, e.g., [41], pp. 44-61; [45]).

### 1.3. Does H.M. Exhibit Category-specific Aphasia? [47]

Under the category-specific aphasia hypothesis, H.M.’s language production deficits resemble category-specific aphasia (either pure or compound), with impaired retrieval and sequencing of some but not all lexical categories (e.g., nouns), some but not all syntactic categories (e.g., noun phrases), and perhaps also some but not all phonological categories (e.g., syllable-final consonants). The category-specific aphasia hypothesis does not specify which types or how many category-specific activating mechanisms have been damaged versus spared in H.M. However, if H.M. more often omits and/or misorders words in some categories relative to memory-normal controls, the activating mechanisms governing those categories must be impaired under the category-specific aphasia hypothesis.

Conversely, if H.M. produces units in some categories with no more omission and/or order errors than memory-normal controls, the corresponding category-specific activating mechanisms must be
intact under the category-specific aphasia hypothesis. For example, if H.M. omits and/or misorders nouns no more often than memory-normal controls, then his category-specific mechanism for retrieving nouns must be intact.

Consistent with the category-specific aphasia hypothesis, neuroanatomical and theoretical considerations suggest that H.M.’s speech may exhibit selective impairment, reflecting damage to some but not all category-specific mechanisms for retrieving words and phrases (as in category-specific aphasia). First, H.M.’s lesion could in principle have impaired many category-specific activating mechanisms because English has eight major lexical categories (nouns, verbs, adjectives, adverbs, pronouns, prepositions, conjunctions, and interjections), each of which has several subcategories (e.g., common versus proper nouns). Second, some of H.M.’s category-specific activating mechanisms are probably intact because of the fractional nature of his brain damage (see [12]): Except for the amygdala (which triggers emotional reactions), H.M.’s bilateral lesion did not completely destroy the hippocampus or any other hippocampal region structure that could in principle house category-specific activating mechanisms for retrieving words, phrases, and speech sounds.

2. Studies 1-3 in Overview

The present research consisted of three studies. Study 1 examined how often H.M. and memory-normal controls used 21 lexical categories and one syntactic category (noun phrases) on the Test of Language Competence (TLC) adapted from Wiig and Secord [48] and administered in MacKay et al. [9]. If H.M.’s language production deficits reflect category-specific aphasia, we expected that H.M. would reliably underuse some lexical or syntactic categories relative to the controls.

Study 2 followed up on a curious finding in Study 1: H.M. used proper names (e.g., David) reliably more often than the TLC controls, but he used no lexical category reliably less often. To determine whether H.M.’s overuse of proper names was specific to speech and/or the TLC, Study 2A (spoken responses) and Study 2B (written responses) compared how often H.M. and carefully matched memory-normal controls used proper names when answering episodic memory questions about early childhood experiences.

Study 3 followed up on another curious finding in Study 1: H.M. reliably overused coordinating conjunctions relative to TLC controls. To understand this result, Study 3 analyzed in detail how H.M. and carefully matched controls used (and misused) coordinating conjunctions on the TLC, with results that suggested a “compensation hypothesis” for explaining H.M.’s overuse of coordinating conjunctions and other structures.

2.1. Participants

Participants in Studies 1-3 were H.M. and healthy, memory-normal controls recruited through their places of employment in clerical or physical plant positions. The controls were paid for participating and were carefully matched with H.M. for highest educational degree (high school), native language (English), background (semi-skilled labor), age at time of test, and mean verbal and performance IQ scores. H.M.’s combined verbal and performance IQ was 116 at age 44 and 112 at age 71-72.

H.M.’s 1953 sub-orbital suction surgery destroyed virtually the entire amygdaloid complex and partially destroyed several other hippocampal region structures [49]. Partially intact were the
entorhinal cortex, the dentate gyrus, the subicular complex, and the posterior half (approximately) of the hippocampal body (although its functional status was never determined). Completely intact were H.M.’s neocortex (including Brodmann’s areas 44/45), temporal stem, parahippocampal cortex, and ventral perirhinal cortex except for where thin metal suction tubes passed bilaterally through his temporal poles [12].

Later in H.M.’s life (1992-1993), magnetic resonance imaging (MRI) in Corkin et al. [12] indicated bilateral cerebellar damage (probably due to long-term dilantin use), but cerebellar involvement in H.M.’s language deficits described here is unlikely because of four types of evidence reviewed in MacKay and Johnson [50]. The same MRI study suggested (without data from same-age memory normal controls) “possible” and at most “minimal” damage to lateral temporal neocortex.

Later still (2002-2005), more sophisticated MRI data discounted Alzheimer-related degeneration relative to four memory-normal controls (unmatched with H.M. for IQ, education, or background) but suggested vascular changes and cortical thinning with unknown relations to behavior [51]. These cortical and vascular changes probably followed the present studies (1999), but could have originated earlier (without detection via the relatively insensitive MRI technology in [12]). Possible causes of these cortical and vascular changes include (a) an interaction between normal aging and H.M.’s 1953 lesion (see [4]); and (b) transneuronal dendritic degeneration triggered by his hippocampal lesion, a common occurrence in older adults (see [52]-[53]).

2.2. Database and Procedures: Studies 1 and 3

Because the database for Studies 1 and 3 was the full transcript of participants’ responses in MacKay et al. [9], a brief review of their methods and results is in order. The task, a modified version of the TLC, consisted of one practice and 20 experimental trials. The goal on each trial was to create a single grammatical sentence that accurately described a picture and included two or three target words typed below the picture.

Based on stimulus ratings of 10 judges in a preliminary study, MacKay et al. [9] categorized the TLC word-picture stimuli as familiar versus unfamiliar. The judges rated as familiar, stimuli depicting commonly encountered situations, and containing target words that participated in familiar clichés for describing the pictures; and they rated as unfamiliar, stimuli depicting relatively novel situations and containing target words not part of familiar clichés for describing the pictures.

For unfamiliar stimuli, H.M. included reliably fewer target words than the controls, and described the pictures reliably less accurately, less grammatically, and less completely than the controls (for the criteria used in classifying descriptions as grammatical versus ungrammatical and complete versus incomplete, see [9]). The responses to an unfamiliar TLC stimulus in (7ab) illustrate H.M.’s deficits. A general description of the word-picture stimulus appears in (7), followed by H.M.’s description in (7a) and a typical control participant’s in (7b). Note that H.M. described (7) inaccurately (e.g., there was no “lady” in the picture) and omitted the target word leg (see 7a), whereas the control participant accurately described the picture and included both target words (see 7b).

(7). DESCRIPTION OF AN UNFAMILIAR WORD-PICTURE STIMULUS:

Scene: A sheer rock cliff in a forest.
**Protagonists**: Three men, one climbing up the rock face by hand, one pointing up at the climber and talking to the third man, who is listening.

**Target words**: fall, leg

(7a). H.M.: “David wanted him to fall and to see what lady’s using to pull himself up besides his hands.”

(7b). Typical Control: “If I fall and break my leg that’s going, not going to be good.”

However, H.M.’s deficits were selective: For familiar word-picture stimuli in MacKay et al. [9], H.M. and the controls did not differ in accuracy, completeness, or target word inclusion. Moreover, H.M.’s deficits were graded rather than all-or-none: Re-presenting the same picture reduced without completely eliminating H.M.’s deficits, and so did asking him to try again (up to seven times) when he failed to include all of the target words (see the complete transcript of all within-trial utterances of H.M. and the experimenter for each word-picture stimulus in the supplementary materials). H.M.’s initial response (8a) and final response (8b) for the word-picture stimulus described in (8) illustrate this effect of repetition. Note that like the typical controls in (8cd), H.M. produced all three target words without errors on his final but not initial try.

(8). **DESCRIPTION OF A FAMILIAR WORD-PICTURE STIMULUS:**

Scene: A sidewalk at a street intersection with a traffic light that reads, “Don’t walk.”

**Protagonists**: A small boy, age about four years old, his father, and his older brother.

**Action**: The small boy is holding his father’s hand and listens attentively to what his father is saying (presumably about the “Don’t walk” sign). His older brother looks on.

**Target words**: before, first, across


(8b). H.M. (final response): “Before you cross the street you have to look both ways first.”

(8c). Typical Control (sole response): “First they waited before walking across the street.”

(8d). Typical Control (sole response): “And the man is telling the little boy that he must look first before he crosses the street.”

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2.2. **Statistical Conventions: Studies 1-3**

All statistical analyses followed three non-arbitrary conventions justified in detail in the supplementary materials: For meaningful statistical comparisons, differences between H.M. and the controls in absolute scores had to equal or exceed 4.0; when the control standard deviation (SD) was 0.0, the difference between H.M. and the controls was 6.0 SDs (rather than ∞); and only differences between H.M. and the controls in excess of 2.0 SDs were considered reliable.

3. **Study 1: Retrieval of Lexical and Syntactic Categories: H.M. versus Controls**

Study 1 examined whether H.M. underused one syntactic category (noun phrases) and any of 21 lexical categories relative to memory-normal controls on the modified TLC administered in MacKay et al. [9]. We first determined the noun phrases (Study 1B) and lexical categories of each word in the
participants’ responses (Study 1A), and compared the use frequency of each for H.M. versus the controls. If H.M. suffered from category-specific aphasia, we predicted that he would underuse some lexical or syntactic categories but not others relative to the controls.

3.1. Study 1A: Retrieval of Lexical Categories

Study 1A examined how often participants on the TLC used words in 21 lexical categories, including nouns (common and proper), pronouns, verbs (transitive, intransitive, and auxiliary), noun modifiers (indefinite articles, definite articles, canonical adjectives, demonstrative adjectives, and possessive adjectives), verb modifiers (canonical adverbs, time adverbs, and frequency adverbs), prepositions (canonical prepositions, place prepositions, and time prepositions), and conjunctions (coordinating, subordinating, and correlative).

3.1.1. Method

3.1.1.1. Participants

The participants were H.M. at age 72 and eight controls who did not differ reliably from H.M. in mean age (70; SD = 4.6) or mean combined verbal and performance IQ score (113; SD = 9.67).

3.1.1.2. Database and Procedures

The database was the full set of transcribed responses of H.M. and the controls on the TLC (see [9] for detailed transcription procedures). The goal on each trial was to accurately describe a picture using two or three pre-specified target words in a single grammatical sentence, and a response was defined as a string of words bounded by trial onset, trial offset, or a substantive comment from the experimenter (e.g., a request to try again). We chose this database as providing more useable data for lexical category analyses than the smaller MacKay et al. [9] database, which included only H.M.’s best response on each TLC trial. H.M.’s responses in the present database are shown in the supplementary materials, together with a model (complete and error-free) description for the practice and experimental stimuli.

To prepare the database for lexical category analyses, we edited out irrelevant aspects of the responses, including self-corrected errors and error markers (e.g., “no”, “I mean”, “sorry”, “um”, “er”, and “not”), experimenter comments (e.g., “OK”, “good”, and “mm hm”), on-line revisions or repetitions (e.g., “bus... school bus”), interjections and other common dysfluencies (e.g., “um” and “uh”), word and phrase repetitions, false starts, and extraneous or off topic comments (e.g., “it isn't pointed out here what it is”, and “no that doesn’t work”). These edited-out aspects became part of the speech error analyses in MacKay, Johnson and Hadley [54].

As main analyses, we tabulated the lexical category of each word in the database using the sentence context together with the lexical class specifications in Dictionary.com. We then computed the use frequency for common nouns (e.g., enemy, uncle, goal), proper names (e.g., Canada, Sandy), transitive verbs (e.g., toss, love), intransitive verbs (e.g., exist, stink), auxiliary verbs (e.g., could, should), canonical adjectives (e.g., diligent, red, short), demonstrative adjectives (e.g., this, those), possessive adjectives (e.g., your, her), adverbs of time (e.g., yesterday, soon), adverbs of frequency (e.g., often,
sometimes), pronouns (e.g., she, we, his, yours, himself), canonical prepositions (e.g., of, for), prepositions of time (e.g., at 6:00, for a year), prepositions of place (e.g., at my place, in the box), coordinating conjunctions (e.g., and, or, but), subordinating conjunctions (e.g., although, after, because), indefinite articles (e.g., a/an), and definite articles (e.g., the).

3.1.2. Results and Discussion

3.1.2.1. Absolute Use Frequencies

Table 2 shows absolute use frequencies of lexical categories in the full set of transcribed responses for H.M. and the controls (means and SDs). H.M. and the controls both used 18 of the lexical categories, but the controls used correlative conjunctions (e.g., either/or and both/and), whereas H.M. did not, and H.M. used proper names (e.g., Melanie, David, and Gary), whereas the controls did not (see Table 2). However, H.M. used 955 words overall versus a mean of 233 (SD = 120.88) for the controls, a reliable 5.98 SD difference that rendered absolute use frequencies unsuitable for statistical analysis and called for the analyses of relative use frequency examined next.

Table 2. Absolute and relative use frequency of lexical categories for all words in Study 1.

<table>
<thead>
<tr>
<th>General Lexical Category</th>
<th>Specific Lexical Category</th>
<th>Examples</th>
<th>Absolute Use Frequency</th>
<th>Relative Use Frequency</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>H.M.</td>
<td>Controls</td>
<td>H.M.</td>
</tr>
<tr>
<td>Nouns</td>
<td>Common Nouns</td>
<td>enemy, uncle, goal</td>
<td>108 33.40 11.31</td>
<td>14.20 3.21</td>
</tr>
<tr>
<td></td>
<td>Proper Nouns</td>
<td>Canada, Sandy</td>
<td>7 0.00 0.73 0</td>
<td>0</td>
</tr>
<tr>
<td>Pronouns</td>
<td>Pronouns</td>
<td>she, we, his, yours</td>
<td>146 28.40 15.29</td>
<td>11.98 3.32</td>
</tr>
<tr>
<td>Nominal Modifiers</td>
<td>Indefinite Articles</td>
<td>a/an</td>
<td>7 4.80 0.73 1.99 1.62</td>
<td>-0.78a</td>
</tr>
<tr>
<td></td>
<td>Definite Articles</td>
<td>the</td>
<td>26 12.80 2.72 5.78 4.69</td>
<td>-0.65</td>
</tr>
<tr>
<td></td>
<td>Canonical Adjectives</td>
<td>diligent, red, short</td>
<td>61 17.20 6.39 8.50 2.91</td>
<td>-0.73</td>
</tr>
<tr>
<td></td>
<td>Demonstrative Adjectives</td>
<td>this, those</td>
<td>37 6.80 3.87 2.82 0.98</td>
<td>1.07</td>
</tr>
<tr>
<td></td>
<td>Possessive Adjectives</td>
<td>your, her</td>
<td>9 3.00 0.94 1.20 0.74</td>
<td>-0.35</td>
</tr>
</tbody>
</table>
### Table 2: Relative Use Frequencies for H.M. and Controls

<table>
<thead>
<tr>
<th>Category</th>
<th>Example</th>
<th>H.M. Mean (SD)</th>
<th>Controls Mean (SD)</th>
<th>H.M. Mean (SD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Verbs</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Main Verbs:</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Transitive</td>
<td>toss, love</td>
<td>101 (19.60)</td>
<td>10.58 (9.18)</td>
<td>2.20 (0.64)</td>
</tr>
<tr>
<td>Intransitive</td>
<td>exist, stink</td>
<td>125 (27.60)</td>
<td>13.06 (11.87)</td>
<td>1.98 (0.62)</td>
</tr>
<tr>
<td>Auxiliary Verbs</td>
<td>could, should</td>
<td>65 (24.20)</td>
<td>6.81 (9.82)</td>
<td>2.68 (-1.12)</td>
</tr>
<tr>
<td>Verb Modifiers</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Canonical Adverbs</td>
<td>really, not, only</td>
<td>98 (19)</td>
<td>10.26 (7.81)</td>
<td>2.69 (0.91)</td>
</tr>
<tr>
<td>Adverbs of Time</td>
<td>yesterday, soon</td>
<td>5 (1.00)</td>
<td>0.52 (0.66)</td>
<td>0.64 (-0.21)</td>
</tr>
<tr>
<td>Adverbs of Frequency</td>
<td>often, sometimes</td>
<td>1 (0.20)</td>
<td>0.10 (0.10)</td>
<td>0.21 (0.04)</td>
</tr>
<tr>
<td>Prepositions</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Canonical Prepositions</td>
<td>of, with</td>
<td>17 (6.8)</td>
<td>1.78 (2.55)</td>
<td>1.30 (-0.59)</td>
</tr>
<tr>
<td>Prepositions of Time</td>
<td>at 6:00, for a year</td>
<td>3 (0.60)</td>
<td>0.31 (0.42)</td>
<td>0.71 (-0.15)</td>
</tr>
<tr>
<td>Prepositions of Place</td>
<td>at my place, in the box</td>
<td>25 (5.20)</td>
<td>2.62 (1.83)</td>
<td>1.16 (0.68)</td>
</tr>
<tr>
<td>Conjunctions</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Coordinating Conjunctions</td>
<td>and, or, but</td>
<td>68 (9.60)</td>
<td>7.12 (3.92)</td>
<td>0.93 (3.45)*</td>
</tr>
<tr>
<td>Subordinating Conjunctions</td>
<td>although, after, because</td>
<td>32 (9.00)</td>
<td>3.35 (3.46)</td>
<td>1.33 (-0.08)</td>
</tr>
<tr>
<td>Correlative Conjunctions</td>
<td>either/or, both/and</td>
<td>0 (1.60)</td>
<td>0.00 (1.09)</td>
<td>1.42 (-0.77)*</td>
</tr>
<tr>
<td>Interjections</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>well, oh</td>
<td>14 (1.8)</td>
<td>1.47 (0.84)</td>
<td>0.59 (1.06)</td>
<td></td>
</tr>
</tbody>
</table>

**Note.** Relative frequency difference scores are the relative use frequency for H.M. minus the mean for controls (in SDs). * indicates a statistically reliable difference score; a indicates differences in absolute Ns too small for meaningful statistical analysis.

3.1.2.2. Relative Use Frequencies

Table 2 shows relative frequencies by lexical category for H.M. and the controls (means and SDs), with relative use frequency calculated as the absolute use frequency for a lexical category divided by overall size of a participant’s edited transcript multiplied by 100. Also shown in Table 2 are the relative frequency difference scores, calculated as the relative use frequency for H.M. minus the mean for the controls divided by the control SD for each lexical category. Relative frequency difference scores ranged from -1.12 to +6.0 SDs but were never meaningfully greater for the memory-normal controls than H.M. for any lexical category: Although the controls used relatively more correlative conjunctions than H.M., the difference in absolute N for H.M. (0) versus the control mean (1.60) was
too small for meaningful analysis. Absolute $N$s were likewise too small for meaningful analyses of relative use frequencies for indefinite articles, prepositions of time, and adverbs of frequency (see Table 2).

However, relative use frequencies for two lexical categories were reliably greater for H.M. than the controls: coordinating conjunctions (a 3.45 SD difference) and proper names (a 6.0 SD difference by convention), findings reminiscent of H.M.’s overuse of cliché phrases in MacKay, Burke et al. [6]. To rule out H.M.’s reduced target word use as a factor in these results, we reanalyzed the database excluding the target words, and relative use frequency in this second analysis was again reliably greater for H.M. than the controls for both proper names (6.0 SDs by convention) and coordinating conjunctions (2.07 SDs; see Table 3).

Table 3. Absolute and relative use frequency of Study 1 lexical categories, excluding target words.

<table>
<thead>
<tr>
<th>General Lexical Category</th>
<th>Specific Lexical Category</th>
<th>Examples</th>
<th>Absolute Use Frequency</th>
<th>Relative Use Frequency</th>
<th>Controls Mean</th>
<th>Controls SD</th>
<th>Frequency Difference Scores in SDs</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nouns</td>
<td>Common Nouns</td>
<td>enemy, uncle, goal</td>
<td>81</td>
<td>28.60</td>
<td>9.44</td>
<td>14.14</td>
<td>3.56 -1.32</td>
</tr>
<tr>
<td></td>
<td>Proper Nouns</td>
<td>Canada, Sandy</td>
<td>7</td>
<td>0.00</td>
<td>0.82</td>
<td>0.00</td>
<td>0 6.00*</td>
</tr>
<tr>
<td>Pronouns</td>
<td>Pronouns</td>
<td>she, we, his, yours</td>
<td>146</td>
<td>27.6</td>
<td>17.02</td>
<td>13.97</td>
<td>4.58 0.66</td>
</tr>
<tr>
<td>Nominal Modifiers</td>
<td>Indefinite Articles</td>
<td>a/an</td>
<td>7</td>
<td>4.80</td>
<td>0.82</td>
<td>2.43</td>
<td>2.12 -0.76</td>
</tr>
<tr>
<td></td>
<td>Definite Articles</td>
<td>the</td>
<td>26</td>
<td>12.80</td>
<td>3.03</td>
<td>6.77</td>
<td>5.36 -0.69</td>
</tr>
<tr>
<td></td>
<td>Canonical Adjectives</td>
<td>diligent, red, short</td>
<td>44</td>
<td>10.20</td>
<td>5.13</td>
<td>5.63</td>
<td>1.91 -0.23</td>
</tr>
<tr>
<td></td>
<td>Demonstrative Adjectives</td>
<td>this, those</td>
<td>37</td>
<td>6.80</td>
<td>4.31</td>
<td>3.39</td>
<td>1.26 0.73</td>
</tr>
<tr>
<td></td>
<td>Possessive Adjectives</td>
<td>your, her</td>
<td>9</td>
<td>3.00</td>
<td>1.05</td>
<td>1.40</td>
<td>0.90 -0.39</td>
</tr>
<tr>
<td>Verbs</td>
<td>Main Verbs: Transitive</td>
<td>toss, love</td>
<td>95</td>
<td>17.60</td>
<td>11.07</td>
<td>9.40</td>
<td>1.78 0.94</td>
</tr>
<tr>
<td></td>
<td>Main Verbs: Intransitive</td>
<td>exist, stink</td>
<td>111</td>
<td>21.80</td>
<td>12.94</td>
<td>10.78</td>
<td>2.15 1.01</td>
</tr>
<tr>
<td></td>
<td>Auxiliary Verbs</td>
<td>could, should</td>
<td>65</td>
<td>23.20</td>
<td>7.58</td>
<td>11.19</td>
<td>2.92 -1.24</td>
</tr>
<tr>
<td>Verb Modifiers</td>
<td>Canonical Adverbs</td>
<td>really, not, only</td>
<td>88</td>
<td>18.40</td>
<td>10.26</td>
<td>9.01</td>
<td>3.43 0.36</td>
</tr>
<tr>
<td></td>
<td>Adverbs of Time</td>
<td>yesterday, soon</td>
<td>2</td>
<td>0.20</td>
<td>0.23</td>
<td>0.07</td>
<td>0.16 a</td>
</tr>
</tbody>
</table>
In summary, the controls exhibited reliably greater relative use frequency than H.M. for no lexical category, contrary to the category-specific aphasia hypothesis, and neither sample size nor target word inclusion constrained this conclusion. However, three puzzling results in Study 1 warranted further research: H.M.’s reliable overuse of proper names and coordinating conjunctions relative to the controls, and his non-use of correlative conjunctions, e.g., either/or, and both/and (an ambiguous result because differences in absolute Ns for H.M. versus the controls for this lexical category were too small for meaningful analysis).

Understanding these puzzling results was a primary goal in Studies 2-3 and MacKay et al. [54]. Study 2 examined whether H.M. overused proper names in new tasks administered at a younger age, and MacKay et al. analyzed H.M.’s use and misuse of proper names and correlative conjunctions in detail. Study 3 took parallel steps to understand H.M.’s Study 1 overuse of coordinating conjunctions by analyzing his use and misuse of coordinating conjunctions in detail.

### 3.2. Study 1B: Retrieval Frequency of Noun Phrases: H.M. versus the Controls

Study 1B resembled Study 1A except that a syntactic structure was the unit of analysis. By definition, syntactic structures combine one or more words to form a phrase or proposition, and Study 1B analyzed how often H.M. and the controls retrieved noun phrases, a major syntactic structure in English. In standard definitions [55–58], noun phrases combine a noun with modifiers or complements, as in that important point, a noun phrase with head noun point and two modifiers: a demonstrative adjective (that) and a canonical adjective (important).

The question in Study 1B was whether H.M. uses noun phrases with lower relative frequency than memory-normal controls, as in a subclass of category-specific aphasia where the ability to construct or...
retrieve some syntactic structures but not others is impaired. By way of illustration, aphasic Y in (6a) used noun phrases (e.g., *the same thing*, and *the brain*) and verb phrases (e.g., *is smart*) but not the complement structures expected in normal descriptions of the fox and crow fable, e.g., *for the fox to steal* and *to trick the crow* in (6c), which suggests impairment in constructing or retrieving complement structures but not noun phrases or verb phrases.

3.2.1. Method

Participants and procedures were identical to Study 1 except that we used the smaller TLC database of MacKay et al. ([9]; see the supplementary materials) because it contained only H.M.’s best response on any given TLC trial, thereby reducing the number of uncorrected grammatical errors that could complicate the syntactic structure analyses in Study 1B.

Study 1B tabulated all multi-word noun phrases in this database for H.M. and the controls, ignoring errors (see [54] for detailed error analyses) and single-word noun phrases (because Study 1 had already analyzed single-word usage).

3.2.2. Results and Discussion

The mean number of noun phrases per response was 1.72 for H.M. versus 2.21 (SD = 1.38) for the controls, a non-reliable 0.36 SD difference. The mean number of words per noun phrase also did not differ for H.M. (2.32 words) versus the controls (2.21 words; SD = 0.55), a non-reliable 0.60 SD difference. These results indicate that H.M. did not underuse noun phrases relative to the controls, and suggest that (a) he did not suffer category-specific aphasia involving noun phrases, and (b) his category-specific mechanisms for retrieving noun phrases were intact.

4. Study 2: Proper Name Use in Answering Episodic Memory Questions

Study 2 followed up on the reliably greater use of proper names (e.g., *Gary*) for H.M. than memory-normal controls in Study 1. To determine whether this result was specific to the TLC, to spoken speech, or to H.M.’s age (72 in Study 1), Study 2 examined H.M.’s proper name use in spoken and written episodic memory tasks at age 44 and 71. In both tasks, H.M. and age-matched memory-normal controls answered episodic memory questions concerning childhood events, an appropriate domain choice because H.M.’s early childhood memories are intact by common assumption (see e.g., [59]). However, answers were spoken in Study 2A versus written in Study 2B.

4.1. Study 2A: H.M.’s Spoken Use of Proper Names at Age 44

Study 2A used analytic procedures resembling Study 1A to tabulate use frequencies for an experimental category (proper names) and a control category (pronouns) in transcripts of spoken answers to episodic memory questions concerning childhood events. We chose pronouns as the appropriate control category for proper names because (a) proper names and pronouns represent equivalent ways of designating a referent, e.g., a person or object, and (b) unlike proper names, pronouns did not differ in use frequency for H.M. versus the controls in Study 1. Under the assumption that neither task nor age influenced Study 1 results, we expected identical results in Study 2A: reliably
greater use for the experimental category (proper names) but not the control category (pronouns) for H.M. relative to the controls.

4.1.1. Method

4.1.1.1. Participants

The participants were H.M. at age 44, and seven controls with mean age 45 and combined verbal and performance IQ 117.72 (SD = 13.40), a non-reliable 0.10 SD difference relative to H.M.

4.1.1.2. Materials and Procedures

The materials were six episodic memory questions from Marslen-Wilson [2], a 182-page transcript of conversations between Marslen-Wilson and H.M. at age 44. All six questions addressed childhood experiences that occurred prior to age nine, e.g., What is your first or earliest memory? Excluded were questions calling for explicit recall of proper names and “follow-up” questions that Marslen-Wilson asked about earlier H.M. responses (thereby ensuring comparable response contexts for H.M. and the controls).

Following Marslen-Wilson’s [2] procedures as closely as possible, the controls heard the questions in one-on-one conversations with an experimenter in a laboratory setting and their spoken responses were tape-recorded and later transcribed (see [9] for transcription procedures). As in Study 1, we then tabulated the use frequency of proper names and pronouns from the transcripts.

4.1.2. Results and Discussion

The mean number of words per response was 617 for H.M. versus a mean of 244.86 for the controls (SD = 116.19), a reliable 3.20 SD difference that called for relative frequency analyses of our main results.

4.1.2.1. Relative Frequency Analyses

Consistent with Study 1 results, proper names made up 6.48% of H.M.’s words versus a mean of 2.58% for the controls (SD = 1.48%), a reliable 2.64 SD difference favoring H.M. Example (9ab) illustrates this finding for H.M. and a typical control participant responding to the question “What is your first memory?”: The control used no proper name words (see (9b)), whereas H.M. used five: Hartford, Manchester, South Coventry, and Burnside (see (9a)).

(9). Experimenter question: “What is your first memory, the earliest thing you remember?”
(9a). H.M.: When I .. tell you that ‘tis ... you see .... may have been ... that was when I was going to high school ... that .. and .. but before that when I was going to the private kindergarten, two houses up, from where I lived, when I went to high school, but the other places I lived in Hartford, and Manchester, and then South Coventry ... before coming back to (chuckles) Burnside avenue again.
(9b). Typical control participant: “Oh, way back, uh .... two. I was two because I have seen pictures of myself in a snowsuit, and I outgrew it very quickly, but when I was two I wore
it and when I was two I remember walking in my grandma’s kitchen and pointing up at my snowsuit hanging on the kitchen door because I wanted to put it on, and it’s very clear - it was light blue.”

Also consistent with Study 1 results, pronouns made up 4.86% of H.M.’s words versus a mean of 4.91% for the controls (SD = 2.00%), a non-reliable difference.

4.1.2.2. Type and Token Analyses: Pronouns and Proper Names

To illustrate the distinction between types versus tokens, H.M. retrieved 10 different types of proper names overall (Burnside Avenue, Connecticut, East Hartford, Frankie, Hartford, Jimmie Wood, L.T. Wood, Manchester, South Coventry, and Spruce Street) and the seven controls retrieved 38 different proper name types overall (Bad Peter, Black, Carter, Camp David, Central City, Colorado, Christmas, Denver, Drew Bryant, Easter, F-15 fighter, Mrs. Folgers, Germany, Gigantic Cleaners, Harley, Halloween, Hitler, Hog Days, Illinois, JFK, Jerry Lewis, Kentucky, Kewanee, Labor Day, Ms. Hanbee, New York, Nixon, Pokie, Puyallup, Reagan, SALT I, SALT II, Satan, Saturday, Susan, Tehran, Vietnam, Westwood Elementary). However, H.M. retrieved 24 proper name tokens because he repeated Burnside Avenue seven times, East Hartford six times, and Hartford once, and the seven controls retrieved 52 proper name tokens overall because they repeated Labor Day and New York three times, Carter twice, and Denver, Easter, Harley, Hog Days, Jerry Lewis, and Reagan once.

The present type and token analyses used lexical items rather than words as the unit of analysis. To illustrate this distinction, South Coventry represents a single name or lexical item but contains two words, so that (9a) contained five proper name words but only four lexical items: Hartford, Manchester, Burnside, and South Coventry. After counting the pronoun and proper name types and tokens for each participant, we calculated tokens-per-type ratios as a measure of how often participants repeated units that they used.

H.M. used no more pronoun types than the controls, with 6 different pronoun types for H.M. versus a mean of 4.17 for the controls (SD = 1.07), a non-reliable 1.71 SD difference. The tokens-per-type ratio for pronouns also did not differ for H.M. (5.83) versus the mean for the controls (3.85; SD = 2.27), a non-reliable 0.87 SD difference.

The parallel tokens-per-type analysis for proper names yielded 10 proper name types for H.M. versus a mean of 6.33 for the controls (SD = 3.43), a non-reliable 1.07 SD difference. However, the tokens-per-type ratio was 2.4 for H.M. versus a mean of 1.09 for the controls (SD = 0.123), a reliable 10.65 SD difference.

We repeated our tokens-per-type analyses for pronouns and proper names using relative frequencies as the unit of analysis and obtained the same results, ruling out the larger number of words in H.M.’s output as a possible explanation for his tendency to repeat proper names. Also ruled out as a factor were the topics of the questions because H.M.’s proper name use was usually irrelevant to Marslen-Wilson’s questions, reflecting a deliberate topic shift to proper names (see e.g., (9a)).

In summary, our tokens-per-type analyses indicated that H.M. repeated proper names but not pronouns reliably more often than memory-normal controls. This finding again indicates that proper
names represent a special lexical category for H.M., and calls for qualification of the generalization that H.M. has a general tendency to repeat [3,6,11]. Despite repeating a wide range of forms reliably more often than memory-normal controls, including familiar stories, paragraphs, sentences, phrases, and common clichés, H.M. does not have a general tendency to repeat because he repeated proper name types but not pronoun types more often than controls.

4.1.2.3. Content Analyses of Proper Name Use

For participants using four or more proper name types, we analyzed the content of their proper names. These analyses revealed two reliable content differences between H.M.’s proper names versus the controls’. First, 70% of H.M.’s proper names were place names (e.g., Burnside Avenue, Connecticut, East Hartford, Hartford, Manchester, South Coventry, and Spruce Street) versus a mean of 23.3% for the controls ($SD = 6.11$), a reliable 7.64 $SD$ difference. Second, 70% of H.M.’s place names were street and city names versus a mean of 13% for the controls. Overall then, 49% of H.M.’s proper names were street (e.g., Burnside Avenue, Spruce Street) and city (e.g., East Hartford, Hartford, Manchester, and South Coventry) names versus a mean of 3.03% ($SD = 6.41$) for the controls, a reliable 7.17 $SD$ difference.

Were H.M.’s street names accurately recalled episodic memories or were they imagined or fabricated? To illustrate this issue, H.M.’s repeated reference to high school in example (9a) represents an unlikely “first childhood memory” because high school by definition falls outside early childhood. Although appropriate in some contexts, memory-type and memory-accuracy questions are inappropriate in the present context: When comparing the use frequency of equivalent ways to express the same concept, here proper names versus pronouns, it matters not whether the basis for use is irrelevant discourse, imagined facts or events, or memories for semantic facts versus unique personally experienced events.

4.2. Study 2B: H.M.’s Written Use of Proper Names at Age 71

Study 2B resembled Study 2A except that the participants were older and answered visually presented episodic memory questions in writing rather than speech in order to test whether memory factors influenced Study 1 results: With written stimuli and responses, participants needed to recall neither the questions nor their answers (as they unfolded), and we expected different results in Study 2B if these memory factors affected prior results, but the same results (greater proper name use for H.M. than the controls) if they did not affect prior results.

4.2.1. Method

4.2.1.1. Participants

The participants were H.M. at age 71, and three controls with mean age 70 (range 67-74) and combined verbal and performance IQ 119.1 ($SD = 5.02$), a non-reliable 1.41 $SD$ difference relative to H.M.

4.2.1.2. Procedures and Materials
The participants received a five-page booklet with an autobiographical question heading each page, followed by the instruction: Write as much as you want in answering the question. It is not necessary to fill the entire page. Do not worry about exact spelling.

The experimenter repeated the instructions and read each question aloud for H.M. but not the controls. The questions were: What is your earliest memory? Can you describe any children in your kindergarten class? Can you describe any children in your grade school? Describe any single event when you were 7 or younger involving your mother. Describe any single event when you were 7 or younger involving your father. Response duration was determined via stopwatch.

4.2.2. Results and Discussion

4.2.2.1. Number of Words Per Response

We removed from analyses two questions eliciting one-word and irrelevant responses: H.M. and two controls who had not attended kindergarten answered “no” to Can you describe any children in your kindergarten class?; and H.M. answered Can you describe any children in your grade school? with an irrelevant string of abbreviated proper names (see (10)). In response to experimenter questions following (10), H.M. indicated that “MAN.” stood for Manchester; “S.P.S.” for Saint Peter’s School; and “HTFD” for Hartford Fire Department, and we decided that including this irrelevant response would have biased present results in favor of our hypothesis (greater proper name use for H.M. than the controls).

(10). H.M. (written answer to the question Can you describe any children in your grade school? Underlining and punctuation as per the original): MAN. S.P.S. HTFD.

For the remaining questions, the overall mean number of words per response was 17.67 for H.M. versus 26.56 for the controls (SD = 4.44), a reliable 2.00 SD difference that called for relative frequency analyses of our main results.

4.2.2.2. Relative Use Frequency

Proper names made up 11.32% of the words in H.M.’s responses versus a mean of 1.24% for the controls’ (SD = 2.11), a reliable 4.81 SD difference. This replication of earlier results indicated that (a) H.M. retrieved proper names with greater-than-normal frequency when written questions and responses obviated the need to recall either the questions or his own ongoing responses, and (b) H.M. overused proper names in three tasks: answering episodic memory questions about childhood events in speech and writing and creating spoken sentences on the TLC (Study 1).

4.2.2.3. Response Duration

Mean overall response durations were about 248 s for H.M. versus 100 s (SD = 34) for the controls, a reliable 4.35 SD difference attributable in part to H.M.’s cerebellar damage. Because the controls produced reliably more words per response than H.M., mean time per word was also reliably longer for H.M. than the controls.
4.2.2.4. Uncorrected Errors

With misspellings excluded, H.M. produced more uncorrected errors than the controls. H.M.’s handwritten response to the question What is your earliest memory? illustrates two such errors (see Figure 1): “school grade” instead of grade school, and “where I lived when I lived when I returned to high school”, where H.M. presumably failed to cross out when I lived, a noteworthy non-correction because (a) this error rendered his sentence ungrammatical, and (b) H.M. crossed out several lesser errors in Figure 1.

Overall, H.M. produced eight uncorrected word- and phrase-level errors versus a mean of 0.60 for the controls (SD = 0.35), a reliable 21.14 SD deficit. This finding extends H.M.’s deficits in correcting self-produced errors to written speech and rules out time constraints and problems in recalling his just-produced output as causal factors: In Study 2B, there were no time constraints and H.M. could see and correct his handwritten responses without having to recall his prior output.

Figure 1. Handwritten responses to the question What is your earliest memory? with proper names italicized in a verbatim transcription. (a) H.M.: “Kindergarten was two houses from where I lived when I returned to high school. first I went to school grade in Manchester and High school in Htfd Willimantic + then E.H.” (Htfd represents Hartford; E.H. represents East Hartford). (b) Typical control participant: “My first doll “Flossie” was given to me by a favorite uncle when I was probably 4 years old.”

4.2.2.5. Response Coherence

Although coherence or relevance problems were too infrequent for meaningful statistical analyses, H.M. produced several notable examples, such as his reference to high school in Figure 1, which was clearly incoherent with the topic, your earliest memory. Because H.M. could have maintained coherence by reading the questions and his own written responses in Study 2B, such examples suggest
that forgetting or memory problems cannot fully explain his basically similar coherence problems in MacKay, Burke et al. [6], and MacKay et al. [3,9,10].

4.2.3. Subsidiary Results: Unusual Abbreviations, Letter Cases, Underlining and Graphemic Errors

Graphemic characteristics differed for H.M. versus the controls in two ways: graphemic fluency and unusual abbreviations, letter cases, and underlining. Handwriting was more fluent and less error-prone for the controls than H.M., a difference attributable to H.M.’s cerebellar damage. For example, in Figure 1, H.M. substituted M for the N in Manchester, and retraced the A in Kindergarten and the O in houses, whereas the controls virtually never retraced or misproduced letters.

Unlike the controls, H.M. also produced unorthodox abbreviations, letter cases, and underlining. For example, H.M. abbreviated East Hartford as “E.H.” in Figure 1, perhaps to economize on the effort that his cerebellar motor difficulties demanded. However, motor difficulties cannot explain H.M.’s capitalization errors and unorthodox use of underlining, as when he underlined the pronoun I for no apparent reason, incorrectly capitalized the first H in high school, and failed to capitalize the sentence-initial word First in Figure 1, all without correction. By contrast, the controls never produced unusual abbreviations, inappropriate case or inexplicable underlining, a reliable 6.0 SD difference by convention (see the typical control response in Figure 1).

4.3. General Discussion

H.M.’s overuse of proper names in Studies 1 and 2 has no simple explanation and warrants further research. For example, H.M. did not overuse proper names because they are easily retrieved or encoded: Proper names are in fact more difficult to encode and retrieve than other types of information about people such as their (common noun) occupation (see e.g., [61–63]). However, based on extensive analyses of encoding and retrieval errors on the TLC, MacKay et al. [54] concluded that H.M.’s overuse of proper names reflects compensation processes resembling those examined in Study 3.

5. Study 3: Compensation Underlying H.M.’s Use and Misuse of and

The question in Study 3 was why H.M. used reliably more coordinating conjunctions than memory-normal controls in Study 1. As a first step in addressing this question, we analyzed how often participants used various types of coordinating conjunctions on the TLC. To anticipate the results of these use frequency analyses, H.M. overused and but no other coordinating conjunction relative to the controls. This finding called for further analyses of how H.M. used and misused and, and results of those analyses suggested that H.M. overused and to compensate for deficits in creating novel sentence-level plans.

5.1. Method

5.1.1. Participants and database

The participants and database were identical to Study 1.
5.1.2. Procedures

We first analyzed the use frequency of three types of coordinating conjunctions in the TLC database: and (as in I went to Boston and Cambridge), but (as in He shot, but missed), and so (as in I stood up so I could see). Because or, as in Did you walk or take a cab?, was a target word, we did not analyze use frequency for this fourth type of coordinating conjunction (but see [54] for detailed analyses of H.M.’s use and misuse of or). Based on the results of our use frequency analyses, Study 3 then analyzed how H.M. used (and misused) and, together with related ways of conjoining propositions (e.g., temporal and causal subordinating conjunctions).

5.2. Main Results

5.2.1. Use Frequency of and, but, and so

The mean use frequency of and was 0.057 per word for H.M. versus 0.024 for the controls (SD = 0.016), a reliable 2.06 SD difference, with more instances for H.M. than the controls. The mean use frequency of but was 0.003 per word for H.M. versus 0.010 for the controls (SD = 0.007), a non-reliable 1.0 SD difference. The mean use frequency of so was too infrequent for meaningful statistical analysis: 0.001 per word for H.M. versus 0.057 per word for the controls (SD = 0.016). In short, and was the only non-target coordinating conjunction that H.M. used reliably more often than the memory-normal controls.

5.2.2. The Functions of and

As a first step toward understanding why H.M. overused and, we analyzed use frequencies for the three major functions of and: to conjoin individual words (e.g., Mary and I), to conjoin noun phrases (e.g., the administration building and its inhabitants), and verb phrases (e.g., have our cake and eat it too), and to conjoin propositions (e.g., She wants to behave herself and he likes that). Unlike the controls, H.M. only used and to conjoin propositions and never to conjoin noun phrases, verb phrases, or individual words (with one possible exception and two indeterminate instances where errors obscured what units H.M. was trying to conjoin).

5.2.3. Use Frequency of and versus Other Ways of Conjoining Propositions

Why did H.M. overuse and but no other coordinating conjunction relative to the controls? And why did H.M. only use and to conjoin propositions rather than phrases or isolated words? Related to these questions is a third question: Does H.M. also overuse other means of conjoining propositions relative to the controls? To address this question, Study 3 examined how often H.M. conjoined propositions using correlative conjunctions (either . . . or, neither . . . nor, both . . . and, and not only . . . but also); subordinating conjunctions (after, before, unless, although, if, until, as, since, when, because, whereas, and while); and complementation structures (infinitive clauses, as in He hopes to leave early; gerund clauses, as in He enjoys doing that; that clauses, as in She hinted that we should get the lead out; and who clauses, as in He knew who came).
Together, mean use frequencies for correlative conjunctions, subordinating conjunctions, and propositional complementation did not differ reliably for H.M. (0.078 per word) versus the controls (0.078 per word; SD = 0.021), unlike propositional conjunction via and, which did differ reliably for H.M. (0.139 per word) versus the controls (0.102 per word; SD = 0.018). In short, relative to the controls, H.M. overused and but no other means of conjoining propositions.

5.3. Subsidiary Results: Troubles Accompanying H.M.’s Propositional Conjunctions

5.3.1. Run-on Sentences: Trouble Linked With and But No Other Propositional Conjunction

Run-on sentences conjoin semantically unrelated themes or topics, a type of trouble reliably associated with H.M.’s use of and. Examples are (11), where and conjoins two semantically unrelated themes (it is wrong for her to be and the way he’s dressed), and (12), where and conjoins four unrelated themes: pie was back here and coffee is in there and this is boiled milk and this is not liquid. There were no examples where H.M. produced run-on sentences using other ways of conjoining propositions and the controls never produced run-on sentences (a reliable 6.0 SD difference by convention).

(11). H.M.: “it’s wrong for her to be and he’s dressed just as this ...” (Run-on sentence; see the supplementary materials for H.M.’s complete utterance).
(12). H.M.: “Well this pie is- or the pie here was back here- and uh coffee is in there because heat a solid and this is only boiled milk say milk there and this is not liquid but only ice.” (Run-on sentence)

5.3.2. Troubles Accompanying All Propositional Conjunctions

H.M.’s use of and shared three types of trouble with other propositional conjunctions: ungrammatical uses, inaccurate references, and non-sequiturs. However, propositional conjunctions of the controls exhibited none of these troubles (reliable 6.0 SD differences by convention).

5.3.2.1. Ungrammatical Uses

Both omission- and commission-type misuses of and rendered H.M.’s sentences ungrammatical. In omission-type misuses such as (13) and (14), H.M. omitted one of the two or more entities that and must conjoin, thereby violating the TLC instruction to produce grammatical sentences.

(13). H.M.: “And he has to use his legs to climb.” (incomplete sentence)
(14). H.M.: “And that man is trying to tell that woman not to sit there because it’s wet paint.” (incomplete sentence)

Commission-type misuses of and rendered sentences ungrammatical by violating the “same-syntax rule” or “coordinative structure constraint.” Under the same-syntax rule, coordinating conjunctions must conjoin units in the same lexical or syntactic category, e.g., two main verbs, as in I have seen and heard Wagner’s Tannhauser; two noun phrases, as in I went to the symphony and the opera; or two propositions, as in I want that and it’s available (see e.g., [64]). H.M. often violated this same-syntax
rule by using *and* to conjoin different lexical or syntactic categories, thereby rendering his sentences ungrammatical, incoherent, and difficult to understand. For example, under one interpretation, H.M.’s *and* in (15) conjoins a verb phrase (*traveled on that bus*) with a proposition (*have it drive it off*), a violation of the same-syntax rule.

(15). H.M.: “Melanie tra…on that bus, the scrawny bus and have it drive it off…it, it drives it off.” (uncorrected misuse of *and*)

H.M. also produced ungrammatical sentences using other means of conjoining propositions, as in (16abcd), where he misused the subordinating conjunctions *because* and *if* without correction, and in (16e), where he produced the uncorrected error *some her* when conjoining a proposition with a complement.

(16a). H.M.: “**Because** it’s too hard to do it that way.” (Uncorrected misuse of subordinating conjunction in bold: incomplete sentence)

(16b). H.M.: “**Because** it’s wrong for her to be and he’s dressed just as this that he’s dressed” (Uncorrected misuse of subordinating conjunction in bold: incomplete sentence)

(16c). H.M.: “And that man is trying to tell that woman not to sit there **because** it’s wet paint.” (Uncorrected misuse of subordinating conjunction in bold: **because** it’s wet paint substituted for *because the paint is wet*)

(16d). H.M.: “**If** they don’t use legs like he does…and his hands, they could fall.” (Uncorrected misuses in a subordinating conjunction in bold: omission of *their* in *their legs*, substitution of *his hands* for *their hands*)

(16e). H.M.: “I like some her…what she had.” (Uncorrected error *some her*, plus omission of *of* in the complement of *what she had*)

5.3.2.2. Inaccurate References

H.M.’s uses of *and* often falsely characterized a TLC picture, as in (17), where “**and** the same way as her” inaccurately describes a male and female customer in a clothing store as similarly dressed (whereas the male customer and male clerk are similarly dressed). To accurately describe the picture, H.M. should have said something like **and he’s dressed the same way as this man is**. Likewise in (18), H.M.’s “**and** he is just waiting to get waited on” inaccurately describes a man being waited on in a cafeteria: To accurately describe the picture, H.M. should have said something like **and he is just getting waited on**.

(17). H.M.: “Because it’s wrong for her to be **and** he’s dressed just as this that he’s dressed and the same way- as her.” (Inaccurate reference)

(18). H.M.: “He is getting some of this **and** it isn’t pointed out here what it is **and** he is just waiting to get waited on.” (Inaccurate reference)

H.M. also produced inaccuracies using other propositional conjunctions, as in (19), where the subordinating conjunction *because* inaccurately describes the TLC picture because people are neither right nor wrong to be, contrary to the implication of H.M.’s “**because** it’s wrong for her to be.”
(19). H.M.: “Because it’s wrong for her to be.” (Inaccurate reference)

5.3.2.3. Non-sequiturs

H.M.’s use of and often yielded non-sequiturs or logical contradictions, as in (20), where “and to see what he’s using to pull himself up besides his hands” logically contradicts H.M.’s preceding proposition: “David wanted him to fall.” If the climber in the picture fell, David would be unable to see how he was pulling himself up.

(20). H.M.: “David wanted him to fall and to see what he’s using to pull himself up besides his hands.” (Non-sequitur involving use of and; For expository reasons, we have corrected several irrelevant errors in (20): see the supplementary materials for H.M.’s uncorrected utterance).

H.M.’s subordinating conjunctions also yielded non-sequiturs, as in (21), where “because heat a solid” is logically unrelated to H.M.’s sentence topic, the location of coffee and pie in the picture.

(21). H.M.: “Well this pie is- or the pie here was back here- and uh coffee is in there because heat a solid” (non-sequitur associated with because)

5.4. Discussion

Why was and the only way of conjoining propositions that H.M. overused relative to the controls? And why did H.M. overuse and even though other ways of conjoining propositions were less prone to “trouble” (run-on sentences)? To address these and other questions raised by the present results, we developed the compensation hypothesis discussed next.

5.4.1. The Compensation Hypothesis

Under the compensation hypothesis, H.M. has difficulty forming coherent plans for producing novel (never-previously encoded) phrases and sentences, and to compensate for this difficulty on the TLC, H.M. generated familiar (previously encoded in immediate or long term memory) propositions via free association and used and to conjoin them into sentences. This proposition-level free association + and strategy complied with the TLC instruction to produce a single grammatical sentence (because propositions conjoined via and are grammatical under the same-syntax rule), but caused a “troublesome” side effect shared by no other way of conjoining propositions: run-on sentences consisting of unrelated propositions. Nonetheless, ungrammatical sentences, inaccurate references, non-sequiturs, and uncorrected misuses also accompanied these other ways of conjoining propositions because of H.M.’s difficulty in creating never-previously encoded sentences that are coherent and accurate.

This compensation hypothesis raises five basic questions: Does H.M. have difficulty forming coherent plans for producing novel sentences? Does H.M. produce reliably more free associations than memory-normal controls when creating novel sentences? Do H.M.’s uses of and on the TLC fit the standard definition of free association? And how did H.M.’s free association + and strategy benefit his
TLC performance under the compensation hypothesis? As discussed next, evidence bearing on these
and other questions indicates that the compensation hypothesis is sufficiently plausible to warrant
further test.

5.4.2. Does H.M. Have Difficulty Forming Coherent Plans for Novel Phrases and Sentences?

Forming coherent plans for producing novel phrases and sentences has been problematic for H.M.
in a wide range of tasks; see e.g., [3,5,8–10,65,66], and [2] (as analyzed in [6]).

5.4.3. H.M.’s Propositional Conjunctions: Free Association as Classically Defined?

As classically defined (see [67]), words produced via free association are unrelated or inappropriate
to the current situational or conceptual context but strongly related to thoughts, events, or concepts
with preformed associations in memory. Consistent with this classical definition, H.M. often used and
to conjoin concepts with preformed associations in memory but no obvious relation to the current
conceptual or situational context, here, the instruction to use the target words to accurately describe a
TLC picture. For example, preformed associations in semantic memory between waiting and waited in
(18) almost certainly triggered H.M.’s inaccurate claim that the man is waiting to get waited on rather
than is being waited on, as clearly indicated in the TLC picture. Similarly, preformed associations in
memory between the concepts heat, solids, and liquids in (12) almost certainly triggered H.M.’s
irrelevant non-sequitur “because heat a solid” in “coffee is in there because heat a solid and...”

Also consistent with the classical definition of free association, irrelevant (or imagined) aspects of
the pictures often triggered and-linked thoughts unrelated to the TLC goals, as in (11), where H.M.
said “it is wrong for her to be and the way he’s dressed”, and in (12), where H.M. said “pie was back
here and coffee is in there and this is boiled milk and this is not liquid”.

5.4.4. How did H.M. Benefit from his Free Association + and Strategy?

Under the compensation hypothesis, H.M. used and to conjoin two or more propositions retrieved
via free association, thereby compensating for his difficulties in constructing coherent sentence-level
plans. This proposition-level free association + and strategy obviated the need to construct an overall
sentence plan because any two propositions conjoined via and yield a sentence that satisfies the same-
syntax rule and the TLC instruction to produce a single grammatical sentence. For example, H.M.’s
conjoined propositions in “pie was back here and coffee is in there and this is boiled milk and this is
not liquid” yield a single sentence that is grammatical but incoherent and run-on.

5.4.5. Why Did H.M. Prefer to Conjoin Propositions via and?

Using and to conjoin propositions involves simpler, more general, and less constrained processes
than other ways of conjoining propositions. Only one relation between the conjoined units (the same-
syntax concatenation rule) must be computed when using and, whereas two additional and more
complex relations must be computed when using the subordinating conjunctions although, after, and
because: Although requires computation of concatenation, subordination, and contrary relations; after
requires computation of concatenation, subordination, and temporal relations; and because requires
computation of concatenation, subordination, and causal relations. To compensate for his deficits in forming grammatical plans for novel sentences, H.M. therefore preferred \textit{and} as the easiest way to conjoin propositions retrieved via free association under the compensation hypothesis.

5.4.6. Why Was H.M.’s \textit{and} More “Troublesome” Than Other Propositional Conjunctions?

H.M.’s added trouble with \textit{and} only showed up as run-on sentences, not other types of misuses, and directly reflected his free association + \textit{and} strategy under the compensation hypothesis. However, the remaining “troubles” associated with \textit{any} way of conjoining propositions (ungrammatical sentences, inaccurate references, non-sequiturs, and uncorrected misuses) reflect a more general cause under the compensation hypothesis: H.M.’s inability to form coherent plans or internal representations for novel phrases and propositions.

6. Summary, Conclusions and Caveats

Use frequency analyses in Study 1 provided our first major result: that H.M. could retrieve (at least) 21 lexical categories and one syntactic category (noun phrases) with no lower relative frequency than matched memory-normal controls on the TLC. This finding contrasts with the underuse of specific lexical and syntactic categories that characterizes category-specific aphasia, and suggests that H.M.’s category-specific mechanisms for retrieving words in phrases and phrases in sentences are intact. Also consistent with intact brain mechanisms for retrieving already encoded phrases, H.M. has produced many familiar phrases without errors in conversational speech since his lesion. Examples are the six multi-word noun phrases in H.M.’s brief paragraph in (1): “a lay teacher,” “the kids,” “the nuns,” “the grade”, “the next grade,” “young kids,” and “in a way.” H.M. almost certainly encoded all six phrases prior to his lesion, and his error-free use of familiar phrases was probably one of the reasons why researchers interacting informally with H.M. since his lesion (mistakenly) assumed that his language skills were completely intact (see [3,6] for additional reasons).

The simplest explanation of Study 1 use-frequency results is that (a) frontal areas contain the activating-mechanisms for retrieving already-encoded words, phrases, and propositions, and (b) retrieval mechanisms in H.M.’s frontal cortex are intact. Amnesics with compound frontal and hippocampal damage such as Clive Wearing reinforce and extend this account. Consistent with their hippocampal damage, Clive and H.M. cannot form new episodic memories (except via massive repetition; see [54]). However, using his intact frontal cortex, H.M. can retrieve episodic memories encoded before his lesion, whereas due to his frontal damage, Clive cannot ([68], pp. 187-213).

Studies 1 and 2 provided our second major result: H.M.’s reliable overuse of proper names in speech and writing relative to memory-normal controls. In a follow-up study, MacKay et al. [54] examined H.M.’s use of proper names in detail and using the compensation hypothesis developed in Study 3, concluded that H.M. overused proper names to compensate for his inability to encode structures with the same function as proper names.

The compensation hypothesis in Study 3 was developed to explain H.M.’s reliable overuse of the coordinating conjunction \textit{and} relative to memory-normal controls in Study 1. Under this hypothesis, H.M. overused \textit{and} for three reasons: (a) to compensate for his inability to construct sentence-level plans that were novel, accurate, and grammatical [3,5,6,9–11,66,69]; (b) to conjoin familiar
propositions into multi-proposition sentences; and (c) to satisfy the instruction to describe TLC pictures using a single grammatical sentence.

All three factors together contributed to a proposition-level strategy that fit the classical definition of free association but was more complex than word-level free associations observed to date (see [67]). Using this proposition-level free association strategy, H.M. retrieved familiar propositions via free association and conjoined them via *and*, the least constrained way of conjoining one or more propositions to form a grammatical sentence. This strategy obviated the need to form a novel sentence plan and satisfied the TLC instruction to produce a single grammatical sentence, but carried a negative consequence seen with none of the other propositional conjunctions that H.M. used: run-on sentences (see also the negative consequences of H.M.’s proper name compensation strategy in MacKay et al. [54]).

Several caveats are in order regarding the present results and conclusions. One is that H.M.’s normal use-frequency profile for noun phrases and (at least) 18 lexical categories in Study 1 does not imply that his language skills are “relatively intact” or “unimpaired”: H.M.’s ungrammatical uses, inaccurate references, run-on sentences, and non-sequiturs in Study 3 indicate that his mechanisms for *encoding* new phrases, propositions, and sentences are impaired (see also [54]).

Another caveat is that the compensation hypothesis described the observations in Study 3, but did not predict them. New observations are needed to test the compensation hypothesis (a process undertaken in [54]).

As a final caveat, the present use frequency results are specific to H.M. rather than to amnesia in general: Amnesics with different types of brain damage can be expected to compensate in different ways (for additional caveats, see [54]). For example, it is unsurprising that the amnesic patients in Almor, Kempler, MacDonald, Andersen and Tyler [60] used reliably more pronouns than memory-normal controls, whereas H.M. used pronouns with the same relative frequency as memory-normal controls in Studies 1-2. The Almor et al. amnesics were compensating for diffuse cortical damage linked to Alzheimer's Disease, whereas H.M. had virtually no cortical damage and was compensating for hippocampal region damage. Additional case studies therefore seem warranted to explore the parameters and range of category-specific compensation in amnesics with different types of brain damage. As Ramachandran ([70], p. xi) notes, careful study of single cases has in the past proved instrumental in discovering most, and perhaps all, of the syndromes in neurology.

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**Conflict of Interest**

The authors declare no conflict of interest.

**References and Notes**


47. H.M. died at age 82 in 2008. Our use of present tense refers not to the present publication date but to H.M. when tested from ages 44 to 71 in the present research.


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Supplementary Materials for MacKay, Johnson, Fazel, and James (under review). Compensating for Language Deficits in Amnesia I: H.M.’s Spared Retrieval Categories

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1. Justification of the Statistical Conventions in MacKay et al. (under review)

1.1. The 4.0 Absolute Difference Convention

Meaningful statistical comparisons by convention require differences in absolute scores between a patient and the mean for the control group must equal or exceed 4.0. This convention is derived by analogy from the sign test, where differences must equal or exceed 0 vs. 4 for statistical analysis (see, e.g., Siegel, 1956).

1.2. The 0.0 Standard Deviation (SD) Convention

When the SD for a control group is 0.0, the difference in absolute scores for a patient minus the mean for the control group is 6.0 SDs by standard convention. The reason for this convention is that any numerator divided by 0.0 is ∞, yielding an impossibly large estimate for differences of any size. However, 6.0 SDs provides a statistically conservative estimate of absolute score differences in the numerator that equal or exceed 4 versus 0 because smaller numerator differences with non-zero SDs yield difference estimates larger than 6.0 SDs. For example, Study 1 reports a difference of 7 proper nouns used by a patient versus a mean of 0.0 for a control group (N = 8; 0.0), a 6.0 SD difference by convention. However, note that this 6.0 SD difference would have increased to 19.4 SDs with a weaker hypothetical result, namely that a single control participant produces a single proper noun, yielding SD = 0.354 rather than
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0.0 for the controls and a difference of 6.875 rather than 7.0 between the patient and the mean for the controls.

1.3. The 2.0 SDs Significance Convention

Differences in scores for a patient versus the mean for a matched control group in excess of 2.0 SDs are considered reliable. This convention is statistically conservative because 95% of the values in a normal distribution fall within 2 SDs of the mean, yielding \( p = .025 \) for a one-tailed t-test.

2. The Database in MacKay et al. (under review)

This database was a transcript of all within-trial responses of H.M. and the experimenter on the modified version of the Test of Language Competence (TLC) adapted from Wiig & Secord (1988) and administered in MacKay, James & Hadley (2008). Also included are H.M.’s responses to the practice stimulus and a model (complete and error-free) description of each TLC word-picture stimulus. MacKay et al. describe their transcription procedures and protocol in detail, their criteria for defining a “response”, and the rationale underlying the stimulus labels shown here, e.g., Set 1 Picture 2. The label “inaudible” indicates that two or more of the three transcribers in MacKay et al. were less than 100% sure of their transcription after repeated replay. Also shown in italics for each TLC stimulus is the subset of HM’s responses that made up the smaller MacKay et al. database.

<table>
<thead>
<tr>
<th>Practice Example</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Set 1 Picture 1:</strong> Target Words – <em>sad, moving.</em></td>
</tr>
<tr>
<td><strong>Model Description:</strong> These two people looking at the van are sad to be moving away.</td>
</tr>
<tr>
<td><strong>H.M.:</strong> Well…moving.</td>
</tr>
<tr>
<td><strong>Exp.:</strong> Mmm hmm. And what are the objects in the picture?</td>
</tr>
<tr>
<td><strong>H.M.:</strong> He’s taking a trunk into the tru…to the truck.</td>
</tr>
<tr>
<td><strong>Exp.:</strong> Mmm hmm. What else is in the picture?</td>
</tr>
</tbody>
</table>
H.M.: And people are just moving. She doesn’t got any shoes on either.
Exp.: Mmm hmm. Okay.
H.M.: And, probably next door neighbors.
Exp.: Okay. Okay, so I want you to make a sentence up about this picture using these two words.
H.M.: Well…moving away and these people are prob…must be saddened.
Exp.: Okay. So can you make a sentence of…?
H.M.: Mary’s sad to see somebody move away.

Two-word Experimental Stimuli

<table>
<thead>
<tr>
<th>Set 1 Picture 2: Target Words – fall, leg.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Model Description: This man is telling him not to fall and break his leg.</td>
</tr>
</tbody>
</table>

H.M.: Seeing how somebody’s climbing that mountain, they are discussing it themselves cause (inaudible) stuff he should take.
Exp.: Mmm hmm. So just try to make up a sentence using these two words.
H.M.: David wanted him to fall and to see what lady’s using to pull himself up besides his hands.
Exp.: So can you make one sentence up? Using both words.
H.M.: Well I see that Dave did (inaudible) past and he’s going up fast.
Exp.: So, you just need to make up a sentence using these two words. So make up a sentence using the two words.
H.M.: Um well he’s got a pack and so does each one of those.
Exp.: Yeah, I see that. But again you just need to use these two words to make a sentence up.
H.M.: Just to see how he’s legs, see…how he’s using his legs to bo…climb.
Exp.: I know, but you’re ignoring my question aren’t you?
H.M.: Well both of them (inaudible)
Exp.: I know but I just want you to say a sentence using these words.
H.M.: Well…how they have to fall, uh climb, easing up…(inaudible)
Exp.: So what are the two words?
H.M.: Fall and leg.
Exp.: So can you make up a sentence about this picture?
**H.M.: Jay had to use (inaudible) climb too.**

**Exp.: So can you use both these words to make the sentence?**

**H.M.: And if they don’t (inaudible) the legs like he does, then they will fall.**

**Exp.: Okay, so say that again.**

**H.M.: *If they don’t use legs like he does…and his hands, they could fall.***

| Set 1 Picture 4: Target Words – *some, and.* |
| Model Description: The man is telling her that he would like some cake and ice cream. |

**H.M.: (inaudible)**

**Exp.: So say that again?**

**H.M.: *I like some her…what she had.***

| Set 1 Picture 6: Target Words – *but, job.* |
| Model Description: She is saying that they are doing a good job but it’s not done. |

**H.M.: I… she wants the house painted the same as him and he wants to mow the lawn.**

**Exp.: But use the two words to make the sentence.**

**H.M.: *I want that job… and… but she says, he gotta do the other part first.***

| Set 1 Picture 8: Target Words – *because, hard.* |
| Model Description: He is telling him not to try that because it’s hard. |

**H.M.: Yes, I… I (inaudible).**

**Exp.: What are the two words you want to use?**

**H.M.: I want to exercise like these two are.**

**Exp.: I know but you need to use the two words on the top.**

**H.M.: And that’s really hard, (inaudible).**

**Exp.: Yep. So what are the two words you need to use?**

**H.M.: Because it’s too har… because it’s too hard to do it.**

**Exp.: So make one sentence.**

**H.M.: He’s pointing out different ways.**

**Exp.: So try to make a sentence using the top two words.**

**H.M.: I don’t want to do it the same way as he do because you can’t do it that way.**

**Exp.: Okay. So what are the two words you need to use?**

**H.M.: Um because it’s too… these two are doing different…**

**Exp.: I know but you need to use the two words at the top of the page to make one**
H.M.: Yeah, I see (?).
Exp.: Yeah. So you didn’t use both words.
H.M.: Because it’s too hard to do it that way.
Exp.: Okay.
H.M.: (inaudible)

Set 1 Picture 10: Target Words – first, across.
Model Description: The man is telling the boy to wait first before going across the street.
H.M.: He wants to cross here ...first.

Exp.: Good.
H.M.: He can uh see the sign better than she could and she’s ready to sit down there.

Set 2 Picture 3: Target Words – sit, painted.
Model Description: He is telling her not to sit there because it’s just been painted.
H.M.: And that man is trying to tell that woman not to sit there because it’s wet paint.
Exp.: Good.
H.M.: He can uh see the sign better than she could and she’s ready to sit down there.

Set 2 Picture 5: Target Words – pie, either.
Model Description: He is telling her that he wants either pie or cake.
H.M.: Since they’ve got their coffee already he isn’t- they just want their uh pie and the piece of this pie up here because the cake is down here.
Exp.: OK, you didn’t use this one. What’s this word?
H.M.: Pie.
Exp.: No, this one over here.
Exp.: So how would you use that one in the same sentence with pie to describe what is going on there?
H.M.: He hadn’t got any milk there or put it in his cup.
Exp.: Do you know what the word either means?
H.M.: Or.
Exp.: OK. (pause) Can you think of one sentence using both of those two words?
H.M.: Well this pie is- or the pie here was back here-
Exp.: Uh-huh.
H.M.: and uh coffee is in there because heat a solid and this is only boiled milk say milk
**SUPPLEMENTARY MATERIALS FOR MACKAY ET AL.**

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<tr>
<th>Set 2 Picture 7: Target Words – crowded, drive.</th>
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<tr>
<td><strong>Model Description:</strong> She is telling him that the school bus is so crowded, they should drive.</td>
</tr>
<tr>
<td>H.M.: A driving wanna drive some place and this bus is stopped up there.</td>
</tr>
<tr>
<td>Exp.: What is this word.</td>
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<tr>
<td>H.M.: <em>Is it crowded and it just pointed out this bus is up here and it’s crowded school bus.</em></td>
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<th>Set 2 Picture 9: Target Words – although, wrong.</th>
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<tr>
<td><strong>Model Description:</strong> She is saying he should take that suit although it looks wrong on him.</td>
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<tr>
<td>H.M.: Well she’s choosing the soup here-</td>
</tr>
<tr>
<td>Exp.: Um-hum.</td>
</tr>
<tr>
<td>Exp.: OK. What about the words although and wrong? Can you use those words?</td>
</tr>
<tr>
<td>H.M.: Yes. <em>Because it’s wrong for her to be and he’s dressed just as this that he’s dressed and the same way-</em></td>
</tr>
<tr>
<td>Exp.: OK, good.</td>
</tr>
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<td>H.M.: <em>as her.</em></td>
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<th>Set 2 Picture 11: Target Words – fresh, nor.</th>
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<tr>
<td><strong>Model Description:</strong> She is telling her that the bread looks neither fresh nor healthy.</td>
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<tr>
<td>H.M.: <em>Well you- she wants one thing and he wants another thing and the fresh are not- are not. Doesn’t say that, it says not.</em></td>
</tr>
<tr>
<td>Exp.: It says nor.</td>
</tr>
<tr>
<td>H.M.: She doesn’t want her pie.</td>
</tr>
<tr>
<td>Exp.: It says nor. Do you know the word nor?</td>
</tr>
<tr>
<td>H.M.: Yeah. Or she could say this. This is in (inaudible) over here and this is just little things (inaudible) a little spice you could call eclairs and stuff like that it’s over here.</td>
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**Three-word Experimental Stimuli**

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<tr>
<th>Set 1 Picture 3: Target Words – sit, painted, because.</th>
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<tbody>
<tr>
<td><strong>Model Description:</strong> He is telling her not to sit on that bench because it was just painted.</td>
</tr>
<tr>
<td>H.M.: <em>Oh, don’t sit because it’s just been painted.</em></td>
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<tr>
<th>Set 1 Picture 5 Target Words – pie, either, have.</th>
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<tbody>
<tr>
<td><strong>Model Description:</strong> The man is saying he’ll have either the pie or the cake.</td>
</tr>
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</table>
H.M.: I want some of that pie either some pie and I'll have some.
Exp.: So say that again.
H.M.: I'll have some of that pie and that she’s having.
Exp.: So you, but you need to use these three words.
H.M.: Well pie, either, and.
Exp.: So put those into one sentence.
H.M.: I’ll have pee with pie or (inaudible) hers and (inaudible). Cause there’s a cake down here.
Exp.: Yeah. So you still haven’t used all those words in one sentence.
H.M.: There’s one kind of pie and there’s another kind of pie.
Exp.: Okay. So can you put them into a sentence.
H.M.: And he wants the same par…kind that she does.
Exp.: But you’re not using these three words.
H.M.: Well, they both have to use pie.
Exp.: I know, but you have to use the other two words as well.
H.M.: Any pie to either have.
Exp.: What was that?
H.M.: _Any pie that either she either had._

Set 1 Picture 7: Target Words – crowded, drive, if.
Model Description: The woman is saying that he can drive that crowded bus if he wants to.

H.M.: _Melanie tra…on that bus, the scrawny bus and have it drive it off…it, it drives it off._
Exp.: So say that again.
H.M.: Melanie gets on that one if she can and she wants her to travel along with him.
Exp.: Okay. So try to use the three words at the top to make one sentence.
H.M.: Well he has to go the same way as her if (inaudible)…she wants to go on the bus…and it’s crowded…it’s crowded.
Exp.: Okay.
H.M.: Too crowded to get on the bus.
Exp.: Okay.
H.M.: (inaudible)…one way out, it’s on common street.
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<th>Set 1 Picture 9: Target Words – actually, although, wrong.</th>
<th>Model Description: She is saying she actually likes that suit although it is wrong for him.</th>
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<tbody>
<tr>
<td>H.M.: He had this (inaudible) ….no, she’s taking that suit and he wants to take it…and he’s trying to sell.</td>
<td>Exp.: So how can you use the top three words to make a sentence?</td>
</tr>
<tr>
<td>H.M.: Actually…he’s in this (inaudible) pointing (inaudible) dresses over here…he wants…he wants this kind of color too. And she wants something similar to that.</td>
<td>Exp.: Okay. So make a sentence using the top three wor…top words.</td>
</tr>
<tr>
<td>H.M.: Actually it’s best for him. It’s wrong for her. They have ’em the same way.</td>
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<tr>
<th>Set 1 Picture 11: Target Words – fresh, nor, here.</th>
<th>Model Description: The pie here looks neither fresh nor good.</th>
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<tr>
<td>H.M.: Once has to be trash in yellow (inaudible)…is not here.</td>
<td>Exp.: So can you say that again?</td>
</tr>
<tr>
<td>H.M.: So, this is (inaudible) Gary is…almost…almost…hasn’t been cut the same way. And his (inaudible) just what they are there.</td>
<td>Exp.: Okay. So can you make a sentence up?</td>
</tr>
<tr>
<td>H.M.: (inaudible)…here.</td>
<td></td>
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<tr>
<th>Set 2 Picture 2: Target Words – fall, leg, and.</th>
<th>Model Description: This man is telling him not to fall and break his leg.</th>
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<tbody>
<tr>
<td>H.M.: I should say fall, leg, and.</td>
<td>Exp.: There ya go. So, now can you make up a sentence that has the words fall, leg, and and in it that describes what is going on in this picture?</td>
</tr>
<tr>
<td>Exp.: He’s climbing that and he can fall.</td>
<td>H.M.: He’s climbing that and he can fall.</td>
</tr>
<tr>
<td>Exp.: OK, so that has two of the words. You’ve used and and fall. You said, he’s climbing that and he can fall.</td>
<td>H.M.: And he has to use his legs to call-climb.</td>
</tr>
<tr>
<td>H.M.: And he has to use his legs to call-climb.</td>
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<th>Set 2 Picture 4: Target Words – some, and, get.</th>
<th>Model Description: He is telling her he wants to get some cake and pie.</th>
</tr>
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<tr>
<td>H.M.: Well he’s putting the price of it and price of thing what it is and she wants to</td>
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(inaudible) in there and he’s waitin’ to be waited on.
Exp.: OK, but you didn’t use these three words here.
H.M.: He is getting some of this and it isn’t pointed out here what it is and he is just waiting to get waited on.

| Set 2 Picture 6: Target Words – job, but, easy. |
| Model Description: She is saying it won’t be easy but they need to do a good job. |
| H.M.: Job, but, easy. |
Exp.: OK, so can you think of a sentence that uses all three of those words that describes that picture?
H.M.: It is easy to paint the place even though it’s been just a job and easy on the job part.

| Set 2 Picture 8: Target Words – because, hard, like. |
| Model Description: He is saying he doesn’t like to do that because it is so hard. |
| H.M.: ’Cause he’s doin’ that and this one liked to do it this way to sit down. |
Exp.: Um-hum.
H.M.: And this could be hard here and soft here.
Exp.: Good. So the floor is hard and the trampoline’s soft huh?
H.M.: Yeah.

| Set 2 Picture 10: Target Words - before, first, across. |
| Model Description: The father is telling his son to look first before going across the street. |
| H.M.: Before at first you cross across. |
Exp.: OK, good. How would you use those three words to describe that picture?
H.M.: Before you cross the street you have to look both ways first.
References


